

DNA barcodes and species identifications in Ross Sea and Southern Ocean fishes

P. J. Smith · D. Steinke · A. Dettai · P. McMillan ·
D. Welsford · A. Stewart · R. D. Ward

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Abstract The Southern Ocean occupies about 10 % of the world's oceans but has low species richness with only ~1.5 % of the marine fishes. Within the Southern Ocean, the Ross Sea region is one of the least exploited sea areas in the world, but is subject to commercial fishing. The fauna are not well known, and preliminary IPY molecular studies have indicated that species diversity has been underestimated in this region. DNA barcodes of fishes from the Ross Sea region were compared with barcodes of fishes from the Atlantic and Indian Ocean sectors of the Southern Ocean. Barcoding resolved 87.5 % of 112 species that typically exhibited high inter-specific divergences. Intra-specific divergence was usually low with shared haplotypes among regions. The Zoarcid *Ophthalmolycus amberensis* showed shallow divergences (0.1 %) within the Ross Sea and

Australian Antarctic Territory but high inter-region divergence (2 %), indicative of cryptic species. Other potential cryptic species with high intra-specific divergences were found in *Notolepis coatsi* and *Gymnoscopelus bolini*. In contrast, several taxa showed low inter-specific divergences and shared haplotypes among morphological species. COI provided limited phylogenetic resolution of the genera *Pogonophryne* and *Bathyraco*. *Trematomus loennbergii* and *T. lepidorhinus* shared COI haplotypes, as previously noted in other regions, as did *Cryodraco antarcticus* and *C. atkinsoni*. There was a marked lack of congruence between morphological descriptions and COI divergences among the Ross Sea liparids with shallow or zero divergences among recently described species. Barcodes for the Ross Sea fishes highlighted several initial misidentifications that were corrected when specimens were re-examined.

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P. J. Smith (✉)
National Museum Victoria, GPO Box 666,
Melbourne, VIC 3001, Australia
e-mail: h.p.smithnz@gmail.com

D. Steinke
Canadian Centre for DNA Barcoding, Biodiversity Institute
of Ontario, University of Guelph, 50 Stone Road East,
Guelph, ON N1G 2W1 Canada

A. Dettai
Muséum national d'Histoire naturelle,
Département Systématique et Evolution, UMR 7138,
CP 26, 43, rue Cuvier, 75231 Paris, Cedex 05, France

P. McMillan
National Institute of Water and Atmospheric Research Ltd.,
Private Bag 14 901, Wellington, New Zealand

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D. Welsford
Australian Antarctic Division, 203 Channel Highway,
Kingston, TAS 7050, Australia

A. Stewart
Museum of New Zealand Te Papa Tongarewa,
P.O. Box 467, Wellington, New Zealand

R. D. Ward
Wealth from Oceans Flagship,
CSIRO Marine and Atmospheric Research,
GPO Box 1538, Hobart, TAS 7001, Australia

Introduction

The Antarctic fish fauna has low species richness, with only ~ 320 species (~1.5 % of all known marine fishes) described from the Southern Ocean that occupies about 10 % of the world's ocean (Eastman and McCune 2000; Clarke and Johnston 2003; Møller et al. 2005; De Broyer and Danis 2010). The number of species is probably an underestimate as some taxa are not well known, either because they occupy little sampled deep-water habitats or because they are especially difficult to identify (Duhamel et al. 2010). The unique cold-adapted fauna date from the late Eocene (~ 38 million years ago) and over the past 10–30 million years have developed into the world's most distinctive fish fauna (Clark 2000; Møller et al. 2005), with a very high level of endemism, 97 % in the perciform suborder Notothenioidei (Eastman 1993). The Artedidraconidae, Bathydraconidae, Nototheniidae, and Channichthyidae (all in the Notothenioidei) are the most speciose families and dominate the shelf fish fauna in terms of species diversity, abundance, and biomass (Eastman and McCune 2000; DeVries and Steffensen 2005). The notothenioids have evolved to fill a wide range of life history and ecological niches similar to those observed in taxonomically unrelated shelf fishes in warmer waters and provide one of the few examples of a species flock of marine fishes (Eastman 2000; Eastman and McCune 2000).

Recent (pre-IPY, International Polar Year) molecular studies of this region have revealed an underestimated species richness together with restricted gene flow among some populations of fishes and invertebrates, in particular along the Antarctic Peninsula (e.g. Brierley et al. 1993; Allcock et al. 1997; Held and Leese 2007; Hunter and Halanych 2008; Smith et al. 2008; Thornhill et al. 2008; Wilson et al. 2009). However, studies have been constrained by limited sampling opportunities in this often hostile environment, and by a lack of well-preserved specimens suitable for molecular and taxonomic studies.

The dominant feature in the Southern Ocean is the Antarctic Circumpolar Current (ACC), which isolates the waters around Antarctica and prevents the intrusion of warm water into high latitudes (DeVries and Steffensen 2005). The ACC forms a physical barrier to the northward dispersion of eggs and larvae and also acts as west–east transport system (Eastman 1993). Much of the Southern Ocean is between 3,000 and 5,000 m in depth with isolated plateaus and ridges; the continental shelf area is relatively narrow except for large embayments in the Ross and Weddell Seas, which are characterized by clockwise gyres. The physical heterogeneity and gyres provide a marked spatial variability and, when coupled with the age of the bathyal habitats, may have promoted evolutionary divergence in this unique cold marine environment (Møller et al. 2005). Habitat fragmentation can restrict dispersal among populations, limit gene flow, and lead to allo-

patric speciation (Avice 2000; Frankham et al. 2004). Thus, species may consist of one genetic population with gene flow via the circumpolar current (Smith et al. 2008; Duhamel et al. 2010; Lautrédou et al. 2010; Lecointre et al. 2011) or two, or more, isolated populations contained within major gyres in the Ross and Weddell Seas (Orsi et al. 1995). Molecular studies of the larger and more abundant fishes, especially those vulnerable to harvesting, have indicated a major genetic break north and south of the sub-Antarctic convergence zone (Smith and McVeagh 2000; Shaw et al. 2004), but weak population structure, genetic homogeneity, and low genetic diversity, in some circum-Antarctic species (Parker et al. 2002; Smith and Gaffney 2005; Zane et al. 2006; Kuhn and Gaffney 2008; Van de Putte et al. 2012). A cryptic species was detected in the grenadier bycatch in the toothfish longline fishery (Smith et al. 2011a).

While bathymetry is a major barrier to dispersal, historical processes, in particular the repeated cycles of glaciation, may have had a major influence on the patterns of genetic diversity of benthic fishes (Janko et al. 2007). During glacial periods, ice cover would have limited the amount of benthic habitat on the continental shelf, but during interglacial periods, more habitat and possibly altered sea connections were available. The collapse of the West Antarctic Ice Sheet during the Pleistocene inter-glacial periods potentially created a direct connection between the Ross and Weddell Seas (Pollard and DeConto 2009). The impacts of these historical processes on contemporary patterns of genetic differentiation of benthic Antarctic species have been largely unstudied until very recently (see for example Janosik and Halanych 2010; Janosik et al. 2011).

Molecular barcoding provides a valuable molecular tool for the reliable identification of specimens, for flagging of taxonomic problems, and for providing insights into gene flow in and around the Southern Ocean (e.g. Smith et al. 2008, Grant et al. 2011). The barcode identification system is based on diversity in a single region of the mitochondrial DNA, the cytochrome *c* oxidase I gene, COI (Hebert et al. 2003; Ratnasingham and Hebert 2007), and has aroused considerable debate (see for example Moritz and Cicero 2004; DeSalle 2006; Rubinoff et al. 2006; Buhay 2009). Species recognition via DNA barcoding relies on different species having unique COI sequences or different assemblages of closely related sequences. Intra-specific variation or genetic distance is usually much less than inter-specific variation, enabling species identification and, when high, flagging possible cryptic species (Waugh 2007). While substantial overlap in intra- and inter-specific variation has been reported in a few taxa [some marine gastropods (Meyer and Paulay 2005) and corals (Shearer and Coffroth 2008)], in marine fishes around 98 % of species tested can be distinguished by COI barcodes (Ward et al. 2009), with the Antarctic notothenioids an exception (Dettai et al. 2011a).

Large-scale barcode projects with mostly temperate fishes have demonstrated the benefits of this approach (Ward et al. 2008, 2009), but there were relatively few barcode records for Antarctic fishes until the IPY, and these were restricted to the Scotia Sea (Rock et al. 2008). The IPY initiatives have provided more extensive geographical sampling and many more specimens of Southern Ocean fishes for molecular analyses (Lautrédou et al. 2010; Dettai et al. 2011a, b; Grant et al. 2011). The aims of this paper are to provide an overview of the barcode records for the Ross Sea fishes, collected during the IPY and other recent voyages, supplemented with specimens collected on commercial fishing vessels, and to provide a comparison of genetic divergence within the Ross Sea and between other regions of the Southern Ocean.

Materials and methods

Specimen and tissue collection

Antarctic fishes have been defined as those occurring south of the Antarctic Polar Front and so exclude those found around the sub-Antarctic Islands (Prince Edward, Crozet, Kerguelen, sub-Antarctic Islands south of New Zealand, and Burwood Bank) (Møller et al. 2005), although several species (e.g. Patagonian toothfish *Dissostichus eleginoides*) occur both north and south of the Antarctic Polar Front.

Specimens collected on research vessels during the IPY-CAML (Census of Antarctic Marine Life) surveys were tissue sampled at sea. Specimens caught on commercial vessels in the Southern Ocean were provisionally identified by fishery observers and the specimens frozen whole for onshore identification and processing. Muscle samples from fresh or thawed specimens were stored in 90 % ethanol and the specimens then fixed in 10 % formalin, prior to storage in 70 % isopropanol or 70 % ethanol. The majority of specimens were deposited into collections in the Muséum national d'Histoire naturelle, Paris (567 specimens), Museum of New Zealand Te Papa Tongarewa (488), Australian Antarctic Division (215), CSIRO Australian National Fish Collection (94), the New Zealand National Institute of Water & Atmospheric Research (21), and the South African Institute for Aquatic Biodiversity (2). Provisional barcode results have been reported for specimens deposited in the Muséum national d'Histoire naturelle collection (Dettai et al. 2011a, b) and for specimens in the genus *Macrourus* (Smith et al. 2011a). A further 371 pre-IPY specimens from the Scotia Sea were barcoded by Bangor University (Rock et al. 2008).

The majority of the specimens came from research voyages in three areas: the Ross Sea region (the Pacific sector); the Australian Antarctic Territory (the Indian Ocean sector); and the Scotia and Weddell Seas (the Atlantic sector) (Fig. 1). Specimens collected through Fisheries Observer

Programmes covered the Ross Sea region, the Bellingshausen Sea (78–102°W), the Amundsen Sea (131–172°E), and the South Indian Ocean basin around Heard and McDonald Islands. Large species were not well sampled by IPY research voyages; for example, during the RV *Tangaroa* IPY-CAML survey, only two specimens of the Antarctic toothfish *Dissostichus mawsoni* and five specimens of *Bathyraja* were collected, yet these are the target and major bycatch species, respectively, in the toothfish longline fisheries in the same region (Smith et al. 2008).

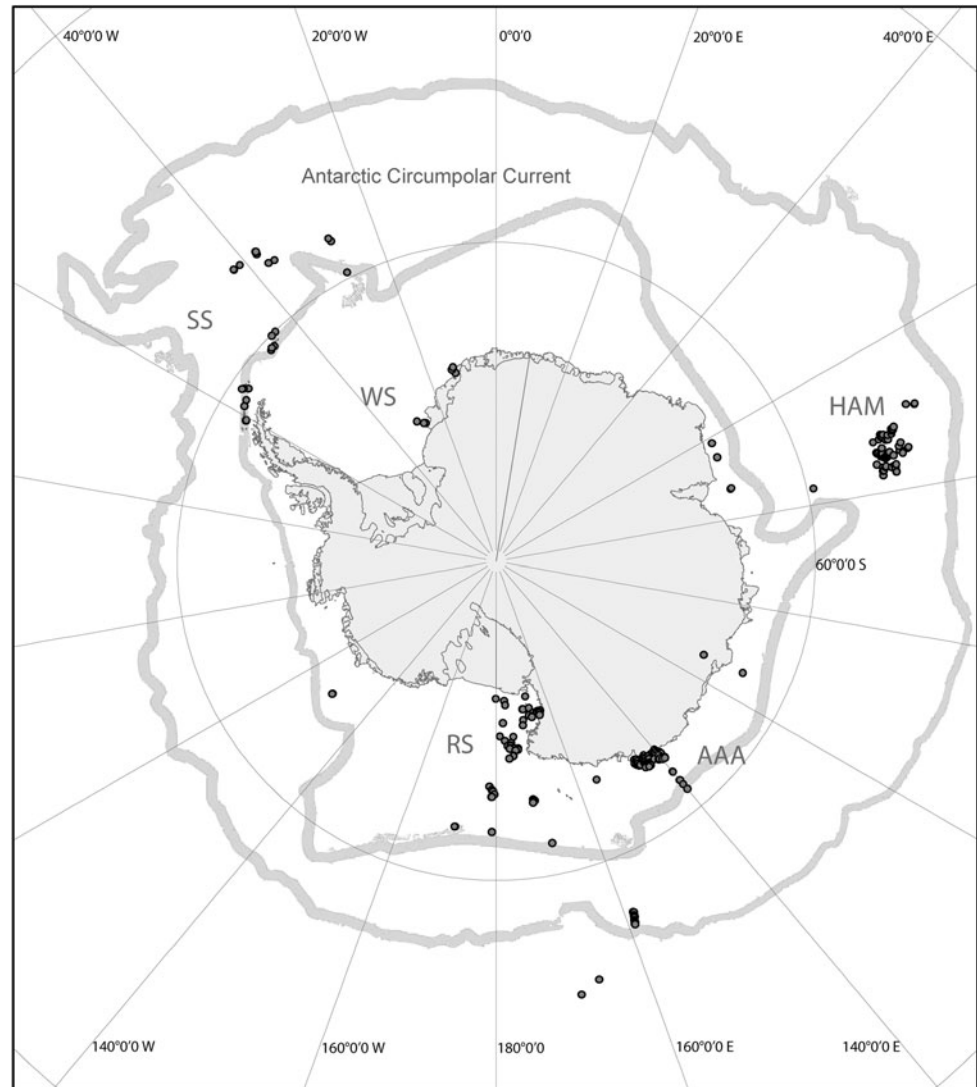
Laboratory methods

DNA was extracted from a subsample of muscle tissue from each specimen using an automated Glass Fiber protocol (Ivanova et al. 2006). The 650-bp barcode region of COI was amplified under standard conditions using the primer cocktail FishF1t1 and FishR1t1 (Ivanova et al. 2007). PCR products were visualized on a 1.2 % agarose gel E-GelH (Invitrogen) and sequenced in both directions using the sequencing primers M13F and M13R (Ivanova et al. 2007) and the BigDyeH Terminator v.3.1 Cycle Sequencing Kit (Applied Biosystems, Inc.) on an ABI 3730 capillary sequencer following manufacturers instructions. Sequences were deposited in the Barcode of Life Data System, BOLD (Ratnasingham and Hebert 2007), in the public projects: Fishes of Antarctica (FNZB) and Fishes of the Australian Antarctic Territory (FOAAN). Further data sets, East Antarctic Teleost Fishes (EATF, Dettai et al. 2011a), Scotia Sea fish (ANTFI, Rock et al. 2008), and Rattails in the Southern Ocean (RATSO, Smith et al. 2011a), were included to provide a wide geographic coverage total of fishes barcoded from the Southern Ocean.

DNA analyses

Species with <3 specimens ($n = 45$) were deleted from the overall data set, as were specimens identified only to genus level, including species with provisional names. Sequence divergences within and among species were calculated using the Kimura two-parameter (K2P) distance model (Kimura 1981). A neighbour-joining (NJ) tree of K2P distances was created to provide a graphic representation of the patterning of divergence within and among species using MEGA v 4 (Tamura et al. 2007); support for each inter-node was evaluated by 1,000 bootstrap replications (Felsenstein 1985). These preliminary analyses of the Antarctic fishes COI data set revealed some instances of high intra-specific divergence (>2 %), highlighting a few possible misidentifications and also some potential cryptic species. A review of 1088 species of marine and freshwater fishes reported a mean K2P intra-specific distance of 0.343 ± 0.005 , and a 2 % COI divergence had only a 3 % probability of conspecificity (Ward 2009). Specimens were

Fig. 1 Locations of fish specimens sampled in the Southern Ocean for DNA barcoding. AAA Australian Antarctic Territory, HAM Heard and McDonald Is., RS Ross Sea, SS Scotia Sea, WS Weddell Sea



re-checked to confirm or to revise the initial identifications. Tissue samples from some of the potential cryptic species were amplified for the nuclear rhodopsin gene using primers developed for fishes (Sevilla et al. 2007).

Subsequently maximum likelihood (ML) trees were built using PAUP 4.0b10 (Swofford 2003) with heuristic searches, employing tree bisection-reconnection branch swapping; support for each inter-node was evaluated by 1,000 bootstrap replications (Felsenstein 1985). Bayesian phylogenetic analyses were estimated with MrBayes version 3.0 (Huelsenbeck and Ronquist 2001). Four simultaneous Monte Carlo chains were run for 1×10^7 generations, saving the current tree every 1,000 generations. Consensus trees with posterior probabilities were created with a burn-in value equal to 1,000 (the first 1,000 trees were discarded). Nucleotide substitution models were selected in jModeltest version 0.1.1 (Posada 2008) using Akaike information criterion (AIC) and Bayesian information criterion (BIC).

COI sequences for the Ross Sea fishes (FNZ) have been deposited in GenBank, Accession Numbers JN640756–641178; additional COI sequences from the Australian Antarctic Division (FOAG) and the CSIRO Australian National Fish Collection (FOAG) were deposited in GenBank as JN640573–640754. Existing COI sequences were used from the Australian Antarctic Division (FOAG): GU805968–60005; Muséum national d’Histoire naturelle (EATF): HQ712804–713372; Bangor University (ANTFI): EU326313–326436; and Rattails in the Southern Ocean (RATSO): JF265072–265128.

Results and discussion

The overall data set contained 1,445 specimens and 201 putative species of Southern Ocean fishes. Examination of the initial COI data revealed several instances of high

intra-specific divergence (>2 %), suggesting possible identification errors or cryptic species (see Ward 2009), in particular from the Ross Sea region. Misidentifications are not surprising as some taxa are not well known or have been described from relatively few specimens from one region. Re-checking specimens confirmed that many of these instances did reflect initial misidentifications and most corrected identifications subsequently showed low intra-specific divergences.

A reduced data set of 1,209 specimens and 112 species, based on 3 or more specimens per species and excluding specimens identified to genus level only, showed that the mean intra-specific divergence was 0.33 % and ranged from 0 to 3.95 %. This is similar to that reported in 294 species of mostly temperate fishes, including freshwater taxa, with a mean of 0.35 % and a range of 0–10.91 % (Ward et al. 2009; the higher values reflecting a few likely misidentifications and/or cryptic species). The mean intra-generic divergence among 58 genera of Southern Ocean fishes was 5.45 % and ranged from 0 to 13.11 %. This is lower than that observed among 103 genera of mostly temperate fishes, including freshwater taxa, with a mean of 8.11 % and a range of 0–24.18 % (Ward et al. 2009). A summary list of species, Specimen Reference Numbers, GenBank Accession Numbers, BOLD Process Numbers, and Institutes holding specimens is provided in Appendix 1 in Electronic supplementary material.

Sixty-four species were sampled in the Ross Sea and at least one other region. Most widely distributed species showed little or no sequence divergence among regions, with a common shared haplotype. However, high intra-specific divergence remained for several taxa after specimen re-examination. This is indicative of cryptic species and appeared more common within the Ross Sea than between regions. On the other hand, several taxa showed no COI divergence among morphological species, with only 87.5 % of species resolved by COI, appreciably less than the 98 % reported for marine fishes in general (Ward et al. 2009). It is possible that there has been insufficient time for COI differentiation to have occurred among these recently diverged species; alternatively, morphological descriptions of some species might have been premature and based on relatively few variable specimens. The overall COI data set revealed interesting taxonomic issues in several taxa, as detailed below.

Rajiformes

Skates are the dominant chondrichthyan group in Antarctic waters (Long 1994), but few specimens were captured during IPY voyages. Most specimens of *Bathyraja* and *Amblyraja* were taken as bycatch on toothfish longline vessels. Molecular analyses of cytochrome *b* and COI in the Southern Ocean *Bathyraja* have shown high sequence divergences

(5.0–5.7 %) among specimens of *B. eatonii* from the Kerguelen Plateau (the type locality) and the Antarctic shelf, providing evidence for two allopatric species (Smith et al. 2008): *B. eatonii* from the Kerguelen Plateau and an undescribed species *Bathyraja* sp. (cf. *eatonii*) in the Southern Ocean. The DNA sequence divergences indicated significant differentiation among ocean basins in *Bathyraja* sp. (cf. *eatonii*) and in *Bathyraja maccaini*, but not within *Bathyraja* sp. (dwarf) (Smith et al. 2008). Specimens of the starry skate *Amblyraja georgiana* from the Ross Sea region ($n = 6$) and the Scotia Ridge ($n = 6$) had identical COI haplotypes.

Aulopiformes: *Notolepis coatsi* (Paralepididae: barracudinas)

Two species are recorded in the genus *Notolepis* in Antarctic waters: the Antarctic jonasfish *Notolepis coatsi* (circumpolar 50–73°S, with a black gill chamber) and the ringed barracudina *Notolepis annulata* (Western Atlantic 37°–72°S, possibly circumpolar, with a pale gill chamber, and distinctive light and dark stripes). Specimens from the Ross Sea region were identified as *N. coatsi*, with a dark gill chamber and inconspicuous scales, and did not have the distinctive rings and scale extensions of *N. annulata*. The COI data for *N. coatsi* indicated a high sequence divergence (2.8 %) with 2 clades (Fig. 2), with 12/643 parsimony informative sites, indicative of 2 species. Three specimens of *N. coatsi* from the Indian Ocean, Heard and McDonald region (76°E, 52°S, 300 m), and one specimen from the Australian Antarctic Territory (139°E, 61°S, 1,000 m) grouped with specimens from the Pacific North Scott seamounts (179°W, 68°S, 50 m depth), and not those in the second clade from the northern edge of Mawson Bank (177°E, 72°S, 1,403 m depth). Re-examination of the Ross Sea specimens in the light of the barcode results found no morphometric differences among representatives of the two clades, although the specimens were delicate and in poor condition. Specimens from the North Scott seamounts had 10–11 pectoral fin rays (mostly 10), while those from the northern edge of Mawson Bank had 10–11 pectoral fin rays (mostly 11). No specimens or COI sequences of *N. annulata* were available for comparison. Amplification of 456 base pairs of the nuclear rhodopsin gene showed similar sequence compositions, with no divergence parallel to the COI clades, among the 11 Ross Sea specimens of *N. coatsi* (GenBank Accession Numbers JN603596–JN603606). Further taxonomic study of this species is required as/when specimens are available.

Osmeriformes

Fifteen specimens of the deep-sea smelt *Bathylagus antarcticus* were sampled from the Ross Sea (10) and Australian

Fig. 2 COI relationships among specimens of the jonassfish *Notolepis coatsi* from the Ross Sea region (North Scott Seamounts, 52°S–68°S; and the northern edge of Mawson Bank, 72°S) and wider Southern Ocean (FNZB = Ross Sea, FOA and EATF = Australian Antarctic Territory). Unrooted ML tree; numbers at nodes are bootstrap percentage (>75 %) after 1,000 replicates based on ML and Bayesian inference posterior probability value (>0.9); scale bar is TIM1 +G distance. Sequence code numbers represent BOLD Process Numbers

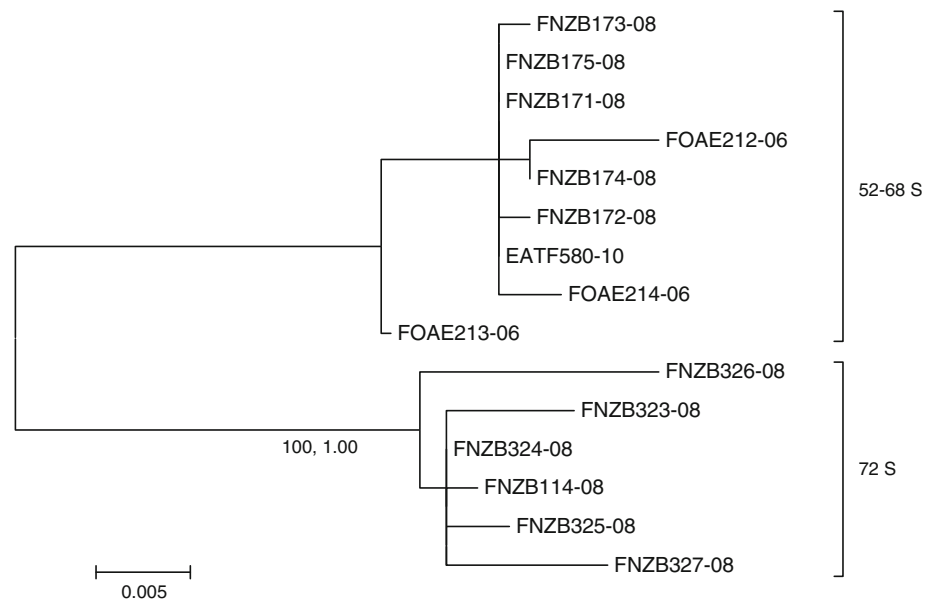


Table 1 Intra-specific K2P sequence divergences (D) and haplotypes in Myctophidae from the Ross Sea and the AAT (N = number of specimens)

Species	D (%)	D range	Haps	N Ross	N AAT
<i>Nannobranchium achirus</i>	0.36	0–0.93	7	12	2
<i>Electrona antarctica</i>	0.18	0–0.78	2	11	12
<i>Electrona carlsbergi</i>	0.28	0–0.78	4	5	3
<i>Gymnoscopelus bolini</i>	2.72	0–4.42	6	3	7
<i>Gymnoscopelus braueri</i>	0.54	0–1.75	5	10	5
<i>Gymnoscopelus nicholsi</i>	0.48	0–1.09	5	9	9
<i>Gymnoscopelus hintonoides</i>	0.53	0–1.10	11	12	0
<i>Gymnoscopelus opisthopterus</i>	0.20	0–0.67	3	9	5

Antarctic Territory (5) and showed high initial intra-specific divergence (mean 5.26 %) produced by one specimen (UM7142P48) representing a mislabelled tissue (of *Cynomacrus piriei*); removal of this specimen resulted in low intra-specific divergence (0.63 %), with no evidence for regional haplotypes.

Myctophiformes

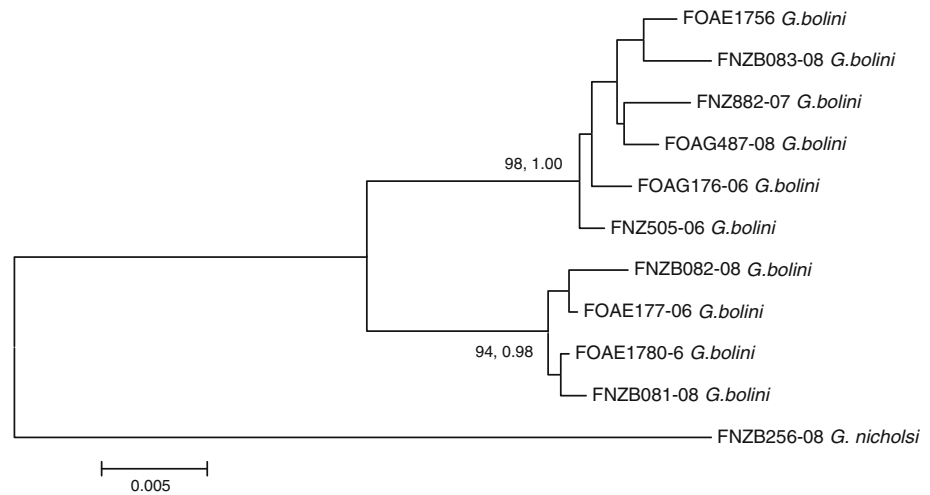
Gymnoscopelus (Myctophidae: lanternfishes)

Specimens from six species of lanternfishes of the genus *Gymnoscopelus* were sequenced for COI. Three species appeared in three well-supported clades: *G. opisthopterus*, *G. braueri*, and *G. nicholsi*, all with low K2P intra-specific divergences (Table 1). Among specimens of *G. bolini*, there were a high divergence (2.72 %, Table 1) and two well-supported clades, typical of species-level differentiation in this genus (Fig. 3), with 10/646 parsimony informative sites. Re-examination of the three Ross Sea specimens of *G. bolini* (Fig. 3) showed them to be correctly identified based on gill raker count, caudal photophore count, and

photophore position; all 3 specimens were large (208–231 mm SL) and taken from the same tow. Unfortunately, no further samples of *G. bolini* were available for analysis; those available did not amplify for the nuclear rhodopsin gene. Specimens of two species *Gymnoscopelus hintonoides* and *G. piabilis*, and one specimen of *G. microlampas* appeared in a shallow, mixed species clade. Preserved specimens of *Gymnoscopelus* held at NMNZ were re-examined, and misidentifications highlighted by the barcode results were confirmed by morphometric and meristic re-examinations: specimens purportedly of both *G. microlampas* and *G. piabilis* were found to be *G. hintonoides*.

Thirteen specimens of the lanternfish *Nannobranchium achirus* from the Ross Sea region (11) and Australian Antarctic Territory (2) showed shallow divergence (0.36 %) with a shared haplotype between regions (Table 1). One specimen initially misidentified as the deep-water lanternfish *Taaningichthys bathyphilus* had an identical haplotype to *Nannobranchium achirus* from the Ross Sea. Two other myctophids, *Electrona antarctica* and *E. carlsbergi*, also showed shallow intra-specific divergences among regions (Table 1) with no region-specific haplotypes.

Fig. 3 COI relationships among specimens of the lanternfish *Gymnoscopelus bolini* from the Ross Sea (FNZ and FNZB sequences) and Australian Antarctic Territory (FOAE and FOAG sequences). ML tree, rooted with *Gymnoscopelus nicholsi*. The scale bar represents an interval of the K2P +G model; numbers at nodes are bootstrap percentage (>75 %) after 1,000 replicates based on ML and Bayesian inference posterior probability value (>0.9). Sequence code numbers represent BOLD Process Numbers



Gadiformes

Three species of grenadier in the genus *Macrourus* have been recognized in the Southern Ocean, but DNA sequencing of the mitochondrial COI gene revealed four well-supported clades, suggesting the presence of an undescribed species, a conclusion subsequently supported by meristic and morphometric examination of specimens (Smith et al. 2011a). The four species were characterized by low intra-specific divergences (0.0–0.1 %) with no region-specific haplotypes (Smith et al. 2011a). Specimens of the undescribed species *Macrourus* sp had been misidentified as *M. whitsoni*, and the two species were sympatric in the Ross Sea region, being captured over the same depth range and on the same longline set in the toothfish fishery (Smith et al. 2011a). Most of the specimens captured in the CEAMARC cruises in the Australian Antarctic Territory (FAO 58) and provisionally identified as *M. whitsoni* (Dettai et al. 2011a) had identical COI sequences to *Macrourus* sp. Two specimens of *M. whitsoni* were identified from one deep-water station, where they were the only macrourids (depth 1,700 m, all the *Macrourus* sp. were taken from <850 m), indicating that the two species might not be sympatric throughout their ranges.

Another grenadier, the dogtooth grenadier *Cynomacrus piriei*, had zero intra-specific divergence with a single haplotype in specimens from the Ross Sea ($n = 8$) and other regions ($n = 4$).

The slender codling *Halargyreus johnsonii* is a benthopelagic species found on the continental slope at about 450–3,000 m with an anti-tropical distribution in the Atlantic and Pacific Oceans, including the Southern Ocean. One COI haplotype was found among specimens from the Ross Sea region ($n = 5$) and the Australian Antarctic Territory ($n = 6$), but this haplotype was highly divergent from those from specimens collected around New Zealand (mean

4.2 %). Average sequence divergences were only 0 and 0.1 %, for specimens, respectively, from the pooled North Pacific, Atlantic, and Southern Oceans and from New Zealand (Smith et al. 2011b). The high sequence divergence is typical of congeneric fish species and indicates the presence of a cryptic species within what is currently recognized as *H. johnsonii* (Smith et al. 2011b). Meristic data for specimens from New Zealand and from the Southern Ocean north of the Ross Sea supported this conclusion (Smith et al. 2011b). DNA barcodes for another morid cod *Antimora rostrata* showed low intra-species divergence (0.1–0.2 %) among specimens from the Ross Sea region (17) and AAT (2), and low divergence (0.22 %) with the congeneric *A. microlepis* (Smith et al. 2011b).

Scorpaeniformes Liparidae (snailfishes)

A recent review of the taxonomy of the Ross Sea liparids has listed 34 species in three genera: six *Careproctus*, 27 *Paraliparis*, and one *Genioliparis* species (Stein 2011, submitted). Eighteen new species of *Paraliparis* were described, 11 from Mawson Bank alone, and many from single specimens (Stein 2011, submitted). In contrast to the morphological data, the COI data provided little resolution for many of the newly described species of *Paraliparis*; three single specimens of three of the new species from the Mawson Bank (P.043687, P.043691, and P.043719) shared a COI haplotype; a further six specimens of another six species (P.043718, P.043692, P.043689, P.043690, P.043688, and P.043721) shared a second haplotype. Two additional new species (P.043693 and P.045678) from the Ross Sea had the same COI haplotype as *P. valentinae* from the AAT. Unlike the Ross Sea data set, the AAT data set showed higher inter-specific divergences (mean = 4.27 %, range = 0.7–6.4 %) with distinct haplotypes among recognized taxa (*P. antarcticus*, *P. charcoti*, *P. leobergi*, and

P. mawsoni) sampled with multiple specimens, and low (mean = 0.09 %, range = 0.0–0.3 %) intra-specific divergences (Table 2). Intra- and inter-clade divergences among Ross Sea specimens are similar to intra- and inter-specific divergences among the AAT taxa (Table 2). It is possible that there has been insufficient time for COI differentiation to occur among the newly described and recently diverged species in the Ross Sea region, but the lack of congruence between morphological and COI data is inconsistent. COI data for the circum-Antarctic *Paraliparis antarcticus* from the Ross Sea region and the AAT revealed two shallow clades with significant divergence (89 % bootstrap support, ML phylogenetic tree) of 0.5 %, with 3/648 phylogenetic informative sites; within-clade divergences were zero. However, two of the Ross Sea specimens appeared in the AAT clade, suggesting that the variation here reflects intra-specific rather than inter-specific differentiation.

A comparison of the Antarctic liparid COI sequences with northern hemisphere liparid COI sequences (available in GenBank, Steinke et al. 2009) showed a complex pattern of relationships with some northern taxa in sister clades to Ross Sea and AAT taxa (data not presented here). A similar case was reported for the liparids of the AAT Antarctic shelf and Kerguelen Islands (Duhamel et al. 2010). The COI results provide a very different pattern to that based on morphology and geography (Duhamel et al. 2010) and highlight the need for additional molecular and morphological studies of this family.

Perciformes

Artedidraconidae (barbeled plunderfishes)

The genus *Pogonophryne* contains many species that are difficult to identify morphologically, in part due to descriptions that were based on relatively few specimens from just one area (Balushkin and Eakin 1998; Eakin 1990; Eakin and Eastman 1998). A revised key to the Artedidraconidae noted that some species exhibited considerable intra-specific morphological variation (Eakin unpublished key 2006).

Currently, 19 species of *Pogonophryne*, in five species groups, are recognized (Eakin et al. 2009). The first phylogenetic analysis of the *Pogonophryne*, based on a single mitochondrial gene ND2 and including 11 of the 19 recognized species (sampled from the five proposed species groups), showed a reciprocal monophyly of the monotypic species groups (Eakin et al. 2009). Sequence divergence was shallow among the 11 species, with a maximum pairwise genetic distance of 1.4 % between *P. scotti* and *P. marmorata*, and with limited phylogenetic resolution among the five species groups (Eakin et al. 2009).

COI sequence data for Ross Sea specimens showed that the same species name had been applied to specimens with different COI sequences and that different names had been applied to specimens with similar COI sequences, highlighting a large number of initial misidentifications, and also questioning the resolution of COI among the closely related taxa. Specimens were re-examined by a specialist (J. Eastman, Ohio University) and some identifications revised. Specimens provisionally identified as *P. immaculata*, *P. albi-pinna*, and *P. barsukovi* appeared in the same clade, while specimens of *P. eakini*, *P. mentella*, *P. squamibarbata*, and *P. orangeiensis* appeared in a second clade; specimens of *P. scotti* formed a third discrete clade, with a shared haplotype among specimens from the Ross Sea and Australian Antarctic Territory (Table 3, Appendix 2 in ESM).

Three species, *Artedidraco skottsbergi*, *Dolloidraco longedorsalis*, and *Histiodraco velifer*, showed no evidence for region-specific haplotypes (Table 3). However, the low inter- and intra-specific divergences noted in genus *Pogonophryne* were also present in the other artedidraconids: although there are species-specific clusters, they are only separated by a few base pairs (Dettai et al. 2011a; Lecointre et al. 2011). Consequently, the lack of region-specific haplotypes might reflect generally low variability of COI. Low genetic divergence among artedidraconid species has been reported with three mitochondrial and one nuclear DNA marker, indicating very recent divergence of small species “subflocks” within the notothenioid flock (Lecointre et al. 2011).

Table 2 COI nucleotide distances (TN93 +G) within and between species/clades of *Paraliparis* from the Ross Sea and AAT

Pc = *P. charcoti*, *Pl* = *P. leobergi*, *Pm* = *P. mawsoni*, *Pv* = *P. valentinae*, *PaAAT* = AAT *P. antarcticus*, *Pa Ross* = Ross Sea *P. antarcticus*, *Psp1* = Ross Sea clade 1, *Psp2* = Ross Sea clade 2

	Within		Between species/clades							
	Intra		<i>Pc</i>	<i>Pl</i>	<i>PaAAT</i>	<i>PaRoss</i>	<i>Pm</i>	<i>Pv</i>	<i>Psp1</i>	<i>Psp2</i>
<i>Pc</i>	0.1									
<i>Pl</i>	0.1	0.6								
<i>Pa</i>	0.0	1.5	1.7							
<i>Pa Ross</i>	0.3	1.5	1.7	0.2						
<i>Pm</i>	0.0	6.0	5.8	6.0	5.9					
<i>Pv</i>	0.0	2.4	2.7	2.8	2.8	5.3				
<i>Psp1</i>	0.1	6.4	5.8	6.4	6.3	2.1	5.3			
<i>Psp2</i>	0.2	6.7	6.6	6.8	6.8	2.5	6.1	2.3		

Table 3 Intra-specific K2P sequence divergences (*D*) and haplotypes in Artedidraconidae from the Ross Sea and the AAT/Scotia Sea (*N* = number of specimens)

Species	<i>D</i> (%)	<i>D</i> range	Haps	<i>N</i> Ross	<i>N</i> AT/SS
<i>Artedidraco skottsbergi</i>	0.27	0–0.62	3	2	14
<i>Dolloidraco longedorsalis</i>	0.14	0–0.46	2	6	14
<i>Histiodraco velifer</i>	0.24	0–0.77	2	5	4
<i>Pogonophryne scotti</i>	0.17	0–0.46	2	6	10

Table 4 Intra-specific K2P sequence divergences (*D*) and haplotypes in Nototheniidae from the Ross Sea and AAT/Scotia Sea (*N* = number of specimens)

Species	<i>D</i> %	<i>D</i> range	Haps	<i>N</i> Ross	<i>N</i> AT/SS
<i>Lepidonotothen larseni</i>	0.22	0–0.55	4	6	5
<i>Lepidonotothen squamifrons</i>	0.18	0–0.47	5	23	11
<i>Trematomus scotti</i>	0.22	0–1.08	12	6	23
<i>Trematomus eulepidotus</i>	0.68	0–1.95	12	3	45
<i>Trematomus hansonii</i>	0.50	0–1.01	6	2	8
<i>Trematomus newnesi</i>	0.69	0–1.08	5	3	2
<i>Trematomus loennbergii/lepidorhinus</i>	0.52	0–1.40	8	14	45
<i>Pleuragramma antarctica</i>	0.20	0–0.80	15	9	23

Nototheniidae (cod icefishes)

The Nototheniidae family has a relatively long history of phylogenetic studies employing a range of mitochondrial and nuclear DNA markers (Ritchie et al. 1996; Near et al. 2004; Janko et al. 2007; Sanchez et al. 2007; Kuhn and Near 2009; Lautrédou et al. 2010). The recent COI study by Lautrédou et al. (2010) included the greatest number of specimens (220) and species (12), but few (4 specimens, 3 species) were from the Ross Sea region.

Two species of *Trematomus* were sequenced from both the Ross Sea and other regions and appeared in two well-supported clades. *Trematomus scotti* showed shallow K2P divergence (*D* = 0.82 %, Table 4) and shared haplotypes among Ross Sea and Indian Ocean regions, as did *T. eulepidotus* (*D* = 0.68 %, Table 4). Several Ross Sea specimens initially identified as *T. eulepidotus* were shown to be *Lepidonotothen squamifrons* based on COI sequence data and specimen re-examination.

Ross Sea specimens identified as *T. loennbergii* and *T. lepidorhinus* appeared in the same COI clade (Appendix 3 in ESM). Lautrédou et al. (2010) also reported a lack of COI divergence among *T. loennbergii* and *T. lepidorhinus* from the Indian Ocean sector and commented that although they are currently considered valid species, the morphological distinctions present problems and that no conclusion can be drawn on the status of these species until morphologies and more variable nuclear markers are investigated (Lautrédou et al. 2010). Certainly, the two species are morphologically similar, although *T. lepidorhinus* has a scaled snout to upper jaw, and scaled lower jaw and branchiostegal membrane, while *T. loennbergii* lacks scales at the tip

of the snout and lacks scales on lower jaw and branchiostegal membrane.

Two species of *Lepidonotothen* sampled from Ross Sea and Kerguelen Islands showed a K2P inter-specific divergence of 0.19 % and low intra-specific sequence divergences (*L. larseni* 0.22 %, *L. squamifrons* 0.18 %) with shared intra-specific haplotypes between regions (Table 4). Several Ross Sea specimens were identified as *L. kempi*, a synonym of *L. squamifrons* (Eschmeyer and Fricke 2009). The Antarctic silverfish *Pleuragramma antarctica* also showed low intra-specific sequence divergence (*D* = 0.21 %) with one common haplotype among specimens from the Ross Sea and AAT (Table 4).

Channichthyidae (crocodile icefishes)

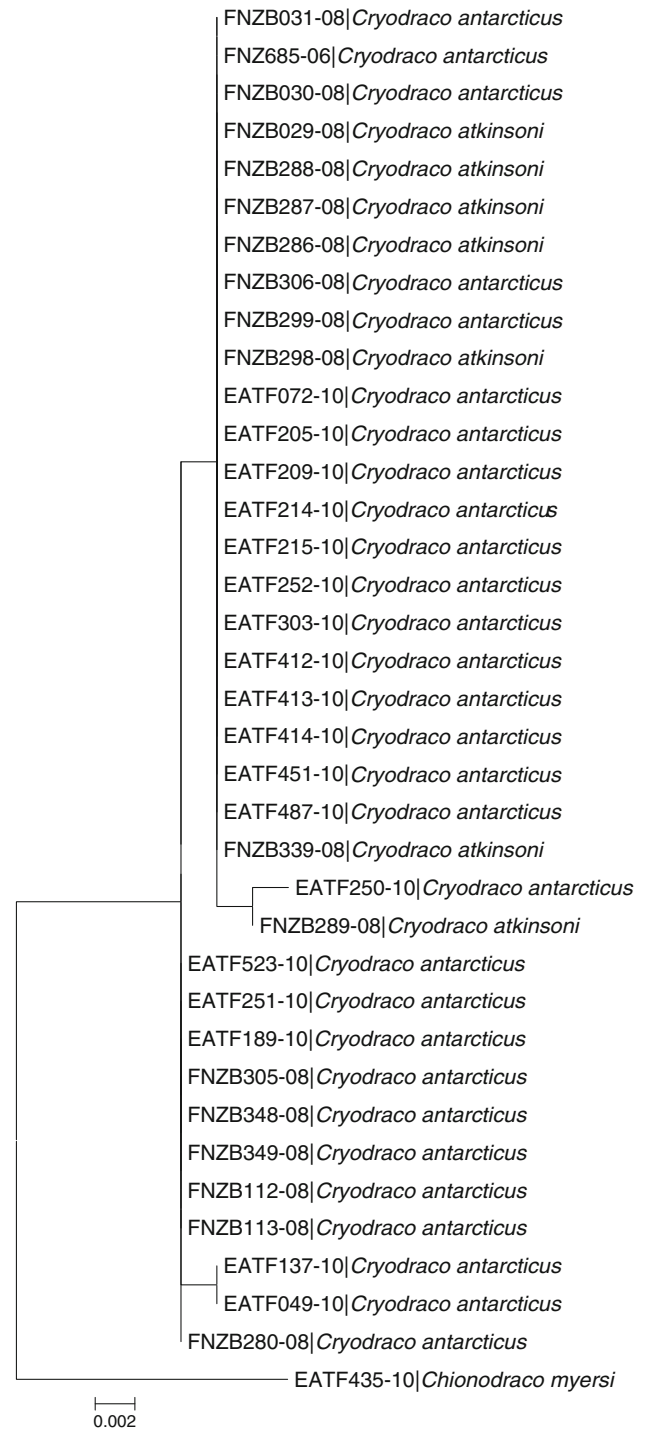
Fifteen species of crocodile icefishes are found around Antarctica and off South America (Gon and Heemstra 1990); 11 were sequenced for COI, 10 from two regions. Nine species with specimens from the Ross Sea and Australian Antarctic Territory had shallow K2P intra-specific divergences (0.0–0.3 %) with shared intra-specific haplotypes among the two regions (Table 5). *Chionobathyscus dewitti* is probably circumpolar occurring on the continental shelf and slope between 500 and 2000 m. Specimens of *C. dewitti* from the Ross Sea region revealed two clades with shallow divergence 0.3 % (<65 % bootstrap support ML, K2P distance) with specimens in the two clades differing by two base substitutions, both at third codon positions with no change in amino acids. There was no evidence for geographical structure with specimens in both clades sampled between 64 and 72°S, and some specimens from the same

Table 5 Intra-specific K2P sequence divergences (*D*) and haplotypes in Channichthyidae from the Ross Sea region (Ross) and the AAT (*N* = number of specimens)

Species	<i>D</i> (%)	<i>D</i> range	Haps	<i>N</i> Ross	<i>N</i> AAT
<i>Chionobathyscus dewitti</i>	0.28	0–0.77	7	16	3
<i>Chaenodraco wilsoni</i>	0.28	0–0.92	6	7	4
<i>Chionodraco myersi</i>	0.31	0–0.71	12	11	19
<i>Chionodraco hamatus</i>	0.19	0–0.77	7	15	6
<i>Dacodraco hunteri</i>	0.29	0–0.74	11	14	6
<i>Neopagetopsis ionah</i>	0.22	0–0.77	7	9	7
<i>Pagetopsis macropterus</i>	0.09	0–0.31	3	3	5
<i>Pagetopsis maculatus</i>	0.00	0	1	6	5
<i>Cryodraco antarcticus/atkinsoni</i>	0.12	0–0.33	6	16	18

tow appearing in both clades. Two specimens from the Australian Antarctic Territory had identical sequences to those from the Ross Sea in clade one. It is likely that this shallow sequence divergence represents intra-specific variation. Other crocodile icefishes sampled in two regions (*Chaenodraco wilsoni*, *Chionodraco myersi*, *Chionodraco hamatus*, *Dacodraco hunteri*, *Neopagetopsis ionah*, *Pagetopsis macropterus*, and *Pagetopsis maculatus*) showed shallow (non-robust < 50 % bootstrap support) intra-specific divergences (< 0.31 %, Table 5), as did *Channichthys rhinoceratus* sampled only in the AAT (mean *D* = 0.05 %, range = 0–0.31).

Ross Sea specimens identified as *Cryodraco antarcticus* and *C. atkinsoni* appeared in one well-supported clade with two shallow subclades, one *C. antarcticus* clade and one mixed *C. antarcticus* and *C. atkinsoni* clade that differed by one base-pair, at a third codon position, and had weak bootstrap support (ML K2P distance 62 %, out-group *Chionodraco myersi*). A combined Ross Sea–EATF data set showed that the same two common haplotypes were shared among specimens of *C. antarcticus* from the Ross Sea and Australian Antarctic Territory, with two additional haplotypes in the AAT, that differed at a single base position (Fig. 4). Re-examination of the Ross Sea region specimens based on the COI results highlighted several initial mis-identifications, but overall, there remained specimens attributed to each species, based on a combination of characters: pelvic fin length, head length, number of second dorsal fin rays, and origin of lower lateral line, after La Mesa et al. (2002). Ross Sea specimens identified as *C. atkinsoni* shared haplotypes with *C. antarcticus* from the Ross Sea and AAT. Gon and Heemstra (1990) regarded *C. atkinsoni* as a junior synonym of *C. antarcticus* and suggested that the taxonomic status of *C. antarcticus* should be re-evaluated (Gon and Heemstra 1990). Subsequently, a taxonomic study of *Cryodraco*, based on more specimens, recognized *Cryodraco antarcticus* and *C. atkinsoni* as good

**Fig. 4** COI relationships among the *Cryodraco antarcticus* and *C. atkinsoni* specimens from the Ross Sea (FNZB sequence code numbers) and AAT (EATF sequence code numbers); ML tree, rooted with *Chionodraco myersi*. The scale bar represents an interval of the K2P model; the nodes were not well supported with bootstrap percentages < 75 %. Sequence code numbers represent BOLD Process Numbers

species, both with a circum-Antarctic distribution (La Mesa et al. 2002) (see also Eschmeyer and Fricke 2009). An early molecular phylogeny of the Channichthyidae did not

include *C. atkinsoni* (Chen et al. 1998), while a DNA phylogeny based on three mitochondrial genes, including COI, showed shallow divergence between 2 specimens of *C. antarcticus* and *C. atkinsoni* (Near et al. 2003), but COI sequences were not provided.

Bathydraconidae (Antarctic dragonfishes)

Three circum-Antarctic species, *Vomeridens infuscipinnis*, *Prionodraco evansii*, and *Gerlachea australis*, each showed shallow K2P intra-specific divergences and shared intra-specific haplotypes among regions (Table 6 and Appendix 4 in ESM). Two Ross Sea specimens of *V. infuscipinnis* were initially misidentified as *Bathydracono macrolepis*. Specimens identified as *Gymnodraco victori* and *G. acuticeps* from the Australian Antarctic Territory shared haplotypes (Appendix 4).

Four *Bathydracono* species showed shallow divergence (Table 6), with shared haplotypes among *B. marri* and

Table 6 Intra-specific K2P sequence divergences (*D*) and haplotypes in Bathydraconidae from the Ross Sea region and the Australian Antarctic Territory

Species	<i>D</i> (%)	<i>D</i> range	Haps	<i>N</i> Ross	<i>N</i> AAT
<i>Vomeridens infuscipinnis</i>	0.05	0–0.33	2	4	15
<i>Bathydracono antarcticus</i> / <i>joannae</i>	0.5	0–1.36	10	4	12
<i>Bathydracono macrolepis</i> / <i>marri</i>	0.10	0–0.34	5	15	5
<i>Gerlachea australis</i>	0.32	0–0.79	9	5	20
<i>Prionodraco evansii</i>	0.21	0–0.71	9	5	12

B. macrolepis and among *B. antarcticus* and *B. joannae* (Appendix 3). Rock et al. (2008) also reported a lack of species resolution within the *Bathydracono* in the Scotia Sea with both COI (*B. macrolepis*, *B. antarcticus*, and *B. joannae*) and cytochrome *b* (*B. macrolepis*, *B. marri*, *B. antarcticus*,

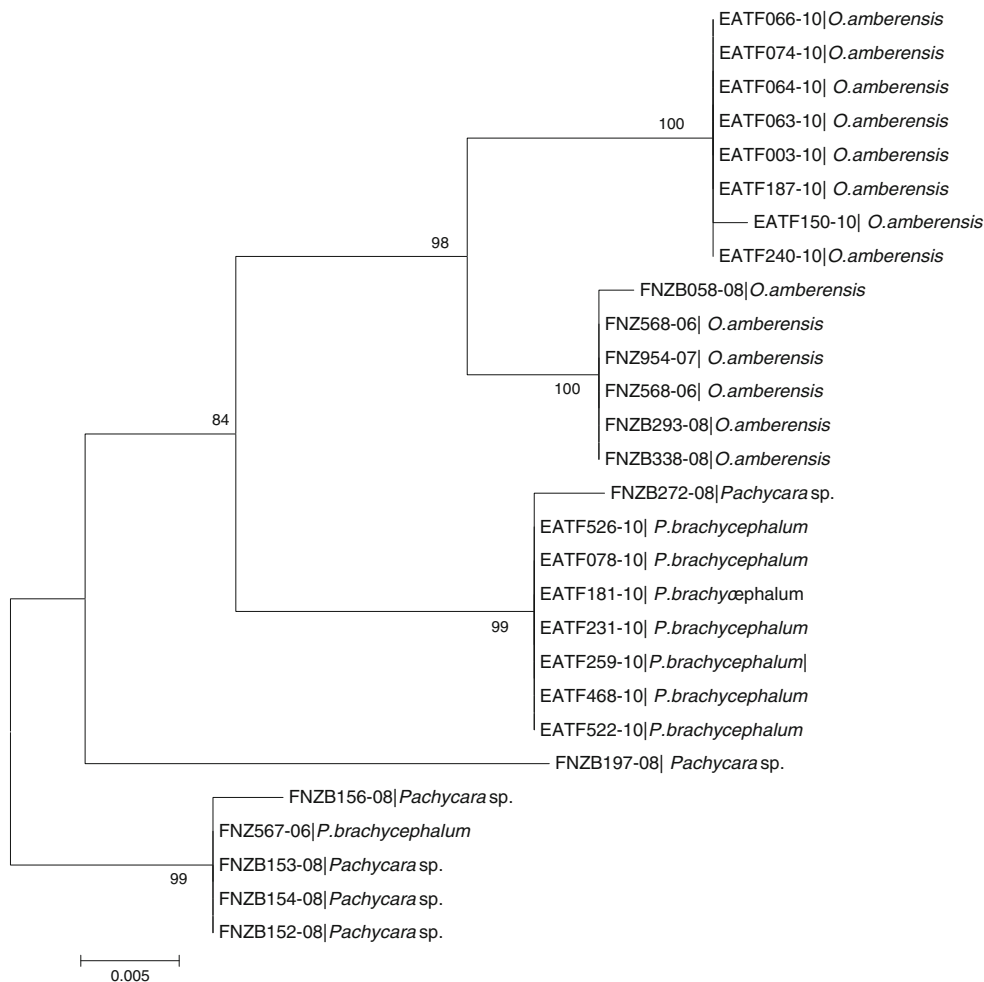


Fig. 5 COI relationships among the Zoacidae *Ophthalmolycus amberensis* and *Pachycara* specimens from the Ross Sea (FNZ and FNZB sequence code numbers) and AAT (EATF sequence code numbers); ML tree, rooted with *Pachycara brachycephalum*

(FNZB567-06) from the Ross Sea. The scale bar represents an interval of the TN93 +G model; numbers at nodes are bootstrap percentages (>75 %). Sequence code numbers represent BOLD Process Numbers

and *B. joannae*). An earlier molecular study utilizing the mitochondrial control region and cytochrome *b* indicated a rapid diversification in the bathydraconids with just three clades across nine genera (Derome et al. 2002). Given that morphological discrimination between bathydraconids is relatively straightforward, and assuming that the morphological variants do indeed equate to true biological species, additional faster-evolving nuclear DNA markers will be required for molecular identification of species of *Bathydraco* (Rock et al. 2008).

Zoarcidae (Eelpouts)

The bathydemersal circum-Antarctic *Ophthalmolycus amberensis* occurs between 60 and 78°S at depths 140–826 m. Five specimens of *O. amberensis* from the Ross Sea region had very different haplotypes to 8 specimens from the Australian Antarctic Territory (Fig. 5); within-region divergences were 0.1 %, and the between-region divergence was 2.0 %, with 11/651 parsimony informative sites. The high between-region divergence is indicative of species-level divergence. Additional specimens and tissue samples are needed from the type locality (Isla Amberes, Antarctic Peninsula, 64° 40'S, 63° 20'W) to resolve the taxonomic status of specimens from the Ross Sea and AAT, with specimens from at least one region representing an undescribed species.

Ross Sea specimens identified as *Pachycara*, including *Pachycara brachycephalum*, appeared in a discrete clade from *P. brachycephalum* from the Australian Antarctic Territory with high sequence divergence (1.5 %) and appeared to represent an undescribed species (Fig. 5).

Conclusions

Comparison of the 64 species of Southern Ocean fishes considered here has shown that most show low intra-specific divergence with shared haplotypes between Ocean basins in the Southern Ocean. A few taxa with deep intra-specific divergences, indicative of cryptic species, were found in *Notolepis coatsi*, *Gymnoscopelus bolini*, and *Ophthalmolycus amberensis* and need to be validated with additional specimens and with additional DNA markers and detailed morphometric analyses. Two species of *Macrourus*, *M. whitsoni*, and *Macrourus* sp. are sympatric in the Ross Sea region but not in the AAT, and additional specimens from different areas and depths are required to establish the distribution of these two species and their vulnerabilities in the toothfish fisheries in the Southern Ocean. COI provided limited phylogenetic resolution of species in the genera *Pogonophryne*, *Bathydraco*, and *Cryodraco*; overall COI separated only 87 % of 112 cur-

rently recognized Southern Ocean fishes, much less than the 98 % observed for temperate fishes (Ward et al. 2009). This reduced success rate is consistent with recent divergence within these genera, and the categorization of this Southern Ocean assemblage as a species swarm (Eastman 2000; Eastman and McCune 2000). In the liparid genus *Paraliparis*, there was a lack of congruence between morphological descriptions and COI diversity with little or no COI divergence among species recently described from the Ross Sea. Additional specimens and both mitochondrial and nuclear DNA markers are needed to resolve the taxonomic status of these species.

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