

1 **Watered-down biodiversity? A comparison of metabarcoding results from**  
2 **DNA extracted from matched water and bulk tissue biomonitoring samples**

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23

24 **Abstract**

25

26 Biomonitoring programs have evolved beyond the sole use of morphological  
27 identification to determine the composition of invertebrate species assemblages in  
28 an array of ecosystems. The application of DNA metabarcoding in freshwater  
29 systems for assessing benthic invertebrate communities is now being employed to  
30 generate biological information for environmental monitoring and assessment. A  
31 possible shift from the extraction of DNA from net-collected bulk benthic samples  
32 to its extraction directly from water samples for metabarcoding has generated  
33 considerable interest based on the assumption that taxon detectability is  
34 comparable when using either method. To test this, we studied paired water and  
35 benthos samples from a taxon-rich wetland complex, to investigate differences in  
36 the detection of taxa from each sample type. We demonstrate that metabarcoding  
37 of DNA extracted directly from water samples is a poor surrogate for DNA extracted  
38 from bulk benthic samples, focusing on key bioindicator groups. Our results  
39 continue to support the use of bulk benthic samples as a basis for metabarcoding-  
40 based biomonitoring, with nearly three times greater total richness in benthic  
41 samples compared to water samples. We also demonstrated that few arthropod  
42 taxa are shared between collection methods, with a notable lack of key bioindicator  
43 EPTO taxa in the water samples. Although species coverage in water could likely  
44 be improved through increased sample replication and/or increased sequencing

45 depth, benthic samples remain the most representative, cost-effective method of  
46 generating aquatic compositional information via metabarcoding.

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48 **Key words:** Biomonitoring, metabarcoding, community DNA, eDNA, biodiversity,  
49 bioindicator, benthos.

50

51 Aquatic biomonitoring programs are designed to detect and interpret ecological  
52 change through analysis of biodiversity in target assemblages such as  
53 macroinvertebrates at a given sampling location<sup>1</sup>. The inclusion of biodiversity  
54 information in environmental impact assessment and monitoring has injected  
55 much-needed ecological relevance into a system dominated by physicochemical  
56 data<sup>2</sup>. However, current biomonitoring data suffer from coarse taxonomic  
57 resolution, incomplete observation (due to inadequate subsampling), and/or  
58 inconsistent observation (variable sampling designs and collection methods) to  
59 provide information with sufficient robustness to support the development of large-  
60 scale models for the interpretation of changing regional patterns in biodiversity<sup>3</sup>.  
61 As a result, practitioners of ecosystem biomonitoring struggle to provide  
62 information that can easily be scaled up to interpret large-scale regional change<sup>4</sup>.  
63 This is a critical deficit, as ecosystems currently face significant threats arising from  
64 large-scale, pervasive environmental drivers such as climate change, which in turn  
65 create spatially and temporally diverse and co-acting stressors<sup>5</sup>.

66

67 Over the last decade, biodiversity science has experienced a  
68 genomics/bioinformatics revolution. The technique of DNA barcoding has  
69 supported the wider use of genetic information as a global biodiversity identification  
70 and discovery tool<sup>6,7</sup>. Several studies have advocated the use of DNA barcode  
71 sequences to identify bio-indicator species (e.g., macroinvertebrates) in the  
72 context of biomonitoring applications<sup>8,9</sup>. The use of DNA sequence information for  
73 specimen identification can significantly aid biomonitoring programs by increasing  
74 taxonomic resolution (which can provide robust species-level identification) in  
75 comparison to morphological analysis (which is often limited to genus- or family-  
76 level [order, or class-level] identification). However, this methodology still requires  
77 the sorting and separation of individual specimens from environmental samples  
78 obtained through collection methods such as benthic kick-net sampling. The  
79 samples obtained routinely contain hundreds to thousands of individual organisms,  
80 many of which are immature stages which cannot be reliably identified<sup>10</sup>.

81

82 Advances in high-throughput sequencing (HTS) technologies have enabled  
83 massively parallelized sequencing platforms with the capacity to obtain sequence  
84 information from biota in environmental samples without separating individual  
85 organisms<sup>11,12</sup>. Past research has demonstrated the utility of HTS in providing  
86 biodiversity data from environmental samples that have variously been called  
87 “metagenomics”, “environmental barcoding”, “environmental DNA” or “DNA  
88 metabarcoding”<sup>12,13</sup>. These approaches are either targeted towards specific

89 organisms (e.g., pathogens, invasive species, or endangered species) or aim to  
90 characterize assemblages of biota. Biomonitoring applications fall mainly into the  
91 second category where assemblages are targeted for ecological analyses<sup>3</sup>. For  
92 example, macroinvertebrate larvae from benthos are considered standard bio-  
93 indicator taxa for aquatic ecosystem assessment. Previous work demonstrated the  
94 use of HTS in biodiversity analysis of benthic macroinvertebrates<sup>14,15,16</sup> and its  
95 applicability to biomonitoring programs<sup>3</sup>. Various studies have contributed to this  
96 endeavor by demonstrating capabilities and limitations of HTS in aquatic  
97 biomonitoring<sup>17,18,19,20</sup>.

98

99 An important consideration in generating DNA information via HTS analysis for  
100 biomonitoring involves the choice of samples. A wide range of sample types  
101 including water, soil, benthic sediments, gut contents, passive biodiversity  
102 samplings (e.g., malaise traps) could be used as sources for DNA extraction and  
103 analysis<sup>21</sup>. Depending on the size of the target organisms, in some cases whole  
104 organisms might be present in the samples (e.g., larval samples in benthos).  
105 However, a sample may also harbor DNA in residual tissue or cells shed from  
106 organisms that may not be present as a whole. For example, early work on  
107 environmental DNA focused on detecting relatively large target species (e.g.,  
108 invasive amphibian or fish species) from DNA obtained from water samples<sup>22</sup>. The  
109 idea of analyzing DNA obtained from water has been proposed for biodiversity  
110 assessment in and around water bodies or rivers including<sup>23</sup> and specifically for

111 bioindicator species<sup>24</sup>. However, because benthos harbors microhabitats for bio-  
112 indicator species development and growth, it has been the main source of  
113 biodiversity samples for biomonitoring applications<sup>1</sup>. In order to evaluate the  
114 suitability of water as a source for biodiversity information of bio-indicator taxa, it  
115 is important to assess whether DNA obtained from water samples alone provides  
116 sufficient coverage of benthic bio-indicator taxa commonly used in aquatic  
117 biomonitoring.

118

119 Here, we compare benthic and water samples collected in parallel from the same  
120 wetland ponds as sources of DNA for environmental DNA (eDNA) metabarcoding  
121 analysis. Specifically, we assess whether patterns of biodiversity illuminated  
122 through DNA analysis of benthos is reflected through DNA analysis of water  
123 samples. The study system involves two adjacent deltas in northern Alberta,  
124 Canada within Wood Buffalo National Park. By comparing patterns of sequence  
125 data from operational taxonomic units (OTUs) and multiple taxonomic levels  
126 (species, genus, family, and order), we explore differences between biodiversity  
127 data (i.e. taxonomic list information) from DNA extracted from water samples as  
128 compared to DNA extracted from co-located benthic samples.

129

## 130 **Methods**

131

### 132 ***Field sampling***

133 Eight open-water wetland sites within the Peace-Athabasca delta complex were  
134 sampled in August 2011. All sites were located within Wood Buffalo National Park  
135 in Alberta, Canada. Full collection data are supplied in the Supplementary Material.  
136 Three replicate samples of the benthic aquatic invertebrate community (hereafter  
137 designated as 'benthos') were taken from the edge of the emergent vegetation  
138 zone into the submerged vegetation zone at each site. Replicated, paired samples  
139 were located approximately 100 metres apart. Samples were collected using a  
140 standard Canadian Aquatic Biomonitoring Network (CABIN) kick net with a 400 $\mu$ m  
141 mesh net and attached collecting cup attached to a pole and net frame. Effort was  
142 standardized at two minutes per sample. Sampling was conducted by moving the  
143 net up and down through the vegetation in a sinusoidal pattern while maintaining  
144 constant forward motion. If the net became impeded by dislodged vegetation,  
145 sampling was paused so extraneous vegetation could be removed. Sampling  
146 typically resulted in a large amount of vegetation within the net. After sampling this  
147 vegetation was vigorously rinsed to dislodge attached organisms, and visually  
148 inspected to remove remaining individuals before discarding. The remaining  
149 material was removed from the net and placed in a white 1L polyethylene sample  
150 jar filled no more than half full. The net and collecting cup were rinsed and  
151 inspected to remove any remaining invertebrates. Samples were preserved in 95%  
152 ethanol in the field, and placed on ice in a cooler for transport to the field base.  
153 Here they were transferred to a freezer at  $-20^{\circ}\text{C}$  before shipment. A sterile net  
154 was used to collect samples at each site and field crew wore clean nitrile gloves to

155 collect and handle samples in the field and laboratory, thereby minimizing the risk  
156 of DNA contamination between sites.

157

158 Three 1L water samples for subsequent DNA extraction were collected directly into  
159 sterile DNA/RNA free 1L polyethylene sample jars. Water samples were collected  
160 at the same locations as the benthos samples, immediately prior to benthic  
161 sampling to avoid disturbance, resulting in the resuspension of DNA from the  
162 benthos into the water column. Water samples were placed on ice prior to being  
163 transported to the lab.

#### 164 ***Water sample filtering and benthos homogenization***

165 Under a positive pressure sterile hood, 1L water samples were filtered with 0.22  
166  $\mu\text{m}$  filter (Mobio Laboratories). After water filtration, total DNA was extracted from  
167 the entire filter using Power water DNA extraction kit (MoBio Laboratories) and  
168 eluted in 100  $\mu\text{l}$  of molecular biology grade water, according to the manufacturer  
169 instructions. DNA samples were kept frozen at -20 C until further PCR amplification  
170 and sequencing. DNA extraction negative control was performed in parallel to  
171 ensure the sterility of the DNA extraction process.

172

173 For benthos samples, after removal of the EtOH<sup>15</sup>, a crude homogenate was  
174 produced by blending the component of each sample using a standard blender  
175 that had been previously decontaminated and sterilized using ELIMINase™  
176 followed by a rinse with deionized water and UV treatment for 30 min. A

177 representative sample of this homogenate was transferred to 50 mL Falcon tubes  
178 and centrifuged at 1000 rpm for 5 minutes to pellet the tissue. After discarding the  
179 supernatant, the pellets were dried at 70°C, until the ethanol was fully evaporated.  
180 Once dry, the homogenate pellets were combined into a single tube and stored at  
181 -20°C.

182

183 Using a sterile spatula, ~300 mg dry weight of homogenate was subsampled into  
184 3 MP matrix tubes containing ceramic and silica gel beads. The remaining dry  
185 mass was stored in the Falcon tubes at -20°C as a voucher.

186

187 DNA was extracted using a NucleoSpin tissue extraction kit (Macherey-Nagel) with  
188 a minor modification of the kit protocol: the crude homogenate was first lysed with  
189 720  $\mu$ L T1 buffer and then further homogenized using a MP FastPrep tissue  
190 homogenizer for 40 s at 6 m/s. Following this homogenization step, the tubes were  
191 spun down in a microcentrifuge and 100  $\mu$ L of proteinase K was added to each.  
192 After vortexing, the tubes were incubated at 56°C for 24 hr. Once the incubation  
193 was completed, the tubes of digest were centrifuged for 1 min at 10,000 g and 200  
194  $\mu$ L of supernatant was transferred to each of three sterile microfuge tubes per tube  
195 of digest. The lysate was loaded to a spin column filter and centrifuged at 11,000  
196 g for 1 min. The columns were washed twice and dried according to the  
197 manufacturer's protocol. The dried columns were then transferred into clean 1.5  
198 mL tubes. DNA was eluted from the filters with 30  $\mu$ L of warmed molecular biology

199 grade water. DNA extraction negative control was performed in parallel to ensure  
200 the sterility of the DNA extraction process.

201

202 Purity and concentration of DNA for each site was checked using a NanoDrop  
203 spectrophotometer and recorded. Samples were kept at -20°C for further PCR and  
204 sequencing.

205

### 206 **Amplicon library preparation for HTS**

207 Two fragments within the standard COI DNA barcode region were amplified with  
208 two primer sets (A\_F/D\_R [~250 bp] called AD and B\_F/E\_R called BE [~330  
209 bp])<sup>15,21</sup> using a two-step PCR amplification regime. The first PCR used *COI*  
210 specific primers and the second PCR involved Illumina-tailed primers. The PCR  
211 reactions were assembled in 25 µl volumes. Each reaction contained 2 µl DNA  
212 template, 17.5 µl molecular biology grade water, 2.5 µl 10× reaction buffer (200  
213 mM Tris-HCl, 500 mM KCl, pH 8.4), 1 µl MgCl<sub>2</sub> (50 mM), 0.5 µl dNTPs mix (10  
214 mM), 0.5 µl forward primer (10 mM), 0.5 µl reverse primer (10 mM), and 0.5 µl  
215 Invitrogen's Platinum Taq polymerase (5 U/µl). The PCR conditions were initiated  
216 with heated lid at 95°C for 5 min, followed by a total of 30 cycles of 94°C for 40 s,  
217 46°C (for both primer sets) for 1 min, and 72°C for 30 s, and a final extension at  
218 72°C for 5 min, and hold at 4°C. Amplicons from each sample were purified using  
219 Qiagen's MiniElute PCR purification columns and eluted in 30 µl molecular biology  
220 grade water. The purified amplicons from the first PCR were used as templates in

221 the second PCR with the same amplification condition used in the first PCR with  
222 the exception of using Illumina-tailed primers in a 30-cycle amplification regime.  
223 All PCRs were done using Eppendorf Mastercycler ep gradient S thermalcyclers  
224 and negative control reactions (no DNA template) were included in all experiments.

### 225 ***High throughput sequencing***

226 PCR products were visualized on a 1.5% agarose gel to check the amplification  
227 success. All generated amplicons plates were dual indexed and pooled into a  
228 single tube. The pooled library were purified by AMPure beads and quantified to  
229 be sequenced on a MiSeq flowcell using a V2 MiSeq sequencing kit (250 × 2; FC-  
230 131-1002 and MS-102-2003).

### 231 **Bioinformatic methods**

232 Raw Illumina paired-end reads were processed using the SCVUC v2.3  
233 pipeline available from [https://github.com/EcoBiomics-](https://github.com/EcoBiomics-Zoobiome/SCVUC_COI_metabarcoding_pipeline)  
234 [Zoobiome/SCVUC\\_COI\\_metabarcoding\\_pipeline](https://github.com/EcoBiomics-Zoobiome/SCVUC_COI_metabarcoding_pipeline). Briefly, raw reads were paired  
235 with SeqPrep ensuring a minimum Phred score of 20 and minimum overlap of at  
236 least 25 bp<sup>25</sup>. Primers were trimmed with CUTADAPT v1.18 ensuring a minimum  
237 trimmed fragment length of at least 150 bp, a minimum Phred score of 20 at the  
238 ends, and allowing a maximum of 3 N's<sup>26</sup>. All primer-trimmed reads were  
239 concatenated for a global ESV analysis. Reads were dereplicated with  
240 VSEARCH v2.11.0 using the 'derep\_fulllength' command and the 'sizein' and  
241 'sizeout' options<sup>27</sup>. Denoising was performed using the unoise3 algorithm in

242 USEARCH v10.0.240<sup>28</sup>. This method removes sequences with potential errors,  
243 PhiX carry-over from Illumina sequencing, putative chimeric sequences, and rare  
244 reads. Here we defined rare reads to be exact sequence variants (ESVs)  
245 containing only 1 or 2 reads (singletons and doubletons)<sup>29</sup> (Callahan, McMurdie,  
246 & Holmes, 2017). An ESV x sample table was created with VSEARCH using the  
247 'usearch\_global' command, mapping reads to ESVs with 100% identity. ESVs  
248 were taxonomically assigned using the COI Classifier v3.2<sup>30</sup>.

249

## 250 **Data analysis**

251

252 Most diversity analyses were conducted in Rstudio with the *vegan*  
253 package<sup>31,32</sup>. Read and ESV statistics for all taxa and for arthropods only were  
254 calculated in R. To assess whether sequencing depth was sufficient we plotted  
255 rarefaction curves using a modified *vegan* 'rarecurve' function. Before  
256 normalization, we assessed the recovery of ESVs from benthos compared with  
257 water samples and assessed the proportion of all ESVs that could be  
258 taxonomically assigned with high confidence. Taxonomic assignments were  
259 deemed to have high confidence if they had the following bootstrap support  
260 cutoffs: species  $\geq 0.70$  (95% correct), genus  $\geq 0.30$  (99% correct), family  $\geq$   
261 0.20 (99% correct) as is recommended for 200 bp fragments<sup>30</sup>. An underlying  
262 assumption for nearly all taxonomic assignment methods is that the query taxa  
263 are present in the reference database, in which case 95-99% of the taxonomic

264 assignments are expected to be correct using these bootstrap support cutoffs.  
265 Assignments to more inclusive ranks, ex. order, do not require a bootstrap  
266 support cutoff to ensure that 99% of assignments are correct.

267       To assess how diversity recovered from benthos and water samples may  
268 differ, we first normalized different library sizes by rarefying down to the 15<sup>th</sup>  
269 percentile library size using the vegan '*rrarefy*' function<sup>33</sup>. It is known that bias  
270 present at each major sample-processing step (DNA extraction, mixed template  
271 PCR, sequencing) can distort initial template to sequence ratios rendering ESV  
272 or OTU abundance data questionable<sup>17,34,35,36</sup>. Here we chose to transform our  
273 abundance matrix to a presence-absence matrix for all further analyses. We  
274 calculated ESV richness across different partitions of the data to compare  
275 differences across sites and collection methods (benthos or water samples). To  
276 check for significant differences we first checked for normality using visual  
277 methods (*ggdensity* and *ggqqplot* functions in R) and the Shapiro-Wilk test for  
278 normality<sup>37</sup>. Since our data was not normally distributed, we used a paired  
279 Wilcoxon test to test the null hypothesis that median richness across sites from  
280 benthic samples is greater than the median richness across sites from water  
281 samples<sup>38</sup>.

282       To assess the overall community structure detected from different  
283 collection methods, we used non-metric multi-dimensional scaling analysis on  
284 Sorensen dissimilarities (binary Bray-Curtis) using the vegan '*metaMDS*' function.  
285 A scree plot was used to guide our choice of 3 dimensions for the analysis (not

286 shown). A Shephard's curve and goodness of fit calculations were calculated  
287 using the vegan '*stressplot*' and '*goodness*' functions. To assess the significance  
288 of groupings, we used the vegan '*vegdist*' function to create a Sorensen  
289 dissimilarity matrix, the '*betadisper*' function to check for heterogeneous  
290 distribution of dissimilarities, and the '*adonis*' function to do a permutational  
291 analysis of variance (PERMANOVA) to check for any significant interactions  
292 between groups (collection method, sample site). We calculated the Jaccard  
293 index to look at the overall similarity between water and benthos samples.

294 To assess the ability of traditional bioindicator taxa to distinguish among  
295 samples, we limited our dataset to ESVs assigned to the EPTO (Ephemeroptera,  
296 Plecoptera, Trichoptera, Odonata) insect orders. No significant beta dispersion  
297 was found within groups. We used PERMANOVA to test for significant  
298 interactions between groups and sources of variation such as collection method  
299 and river delta as described above. Sample replicates were pooled. We also  
300 visualized the frequency of ESVs detected from EPTO families using a heatmap  
301 generated using *geom\_tile* (ggplot) in R.

## 302 **Results**

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304 A total of 48,799,721 x 2 Illumina paired-end reads were sequenced (Table  
305 S1). After bioinformatic processing, we retained a total of 16,841 ESVs (5,407,720  
306 reads) that included about 11% of the original raw reads. Many reads were  
307 removed during the primer-trimming step from water samples for being too short

308 (< 150 bp) after primer trimming. After taxonomic assignment, a total of 4,459  
309 arthropoda ESVs (4,399,949 reads) were retained for data analysis (Table S2).  
310 27% of all ESVs were assigned to arthropoda, accounting for 81% of reads in all  
311 ESVs.

312 Rarefaction curves that reach a plateau show that our sequencing depth  
313 was sufficient to capture the ESV diversity in our PCRs (Figure S1). Benthos  
314 samples generate more ESVs than water samples as shown in the rarefaction  
315 curves as well as by the median number of reads and ESVs recovered by each  
316 collection method (Figure S2). As expected, not all arthropoda ESVs could be  
317 taxonomically assigned with confidence (Figure S3). This is probably because  
318 local arthropods may not be represented in the underlying reference sequence  
319 database. As a result, most of our analyses are presented at the finest level of  
320 resolution using exact sequence variants.

321

### 322 ***Analysis of sample biodiversity***

323 Alpha diversity measures based on mean richness and beta diversity based  
324 on the Jaccard index among all samples show higher values for benthos compared  
325 to water at the ESV rank. The total richness for benthos is 1,588 and water is 658,  
326 with a benthos:water ratio of 2.4. The Jaccard index is 0.14 indicating that water  
327 and benthos samples are 14% similar. Examining the arthropod ESV richness for  
328 each sample site from benthos and water collections reinforces the general pattern

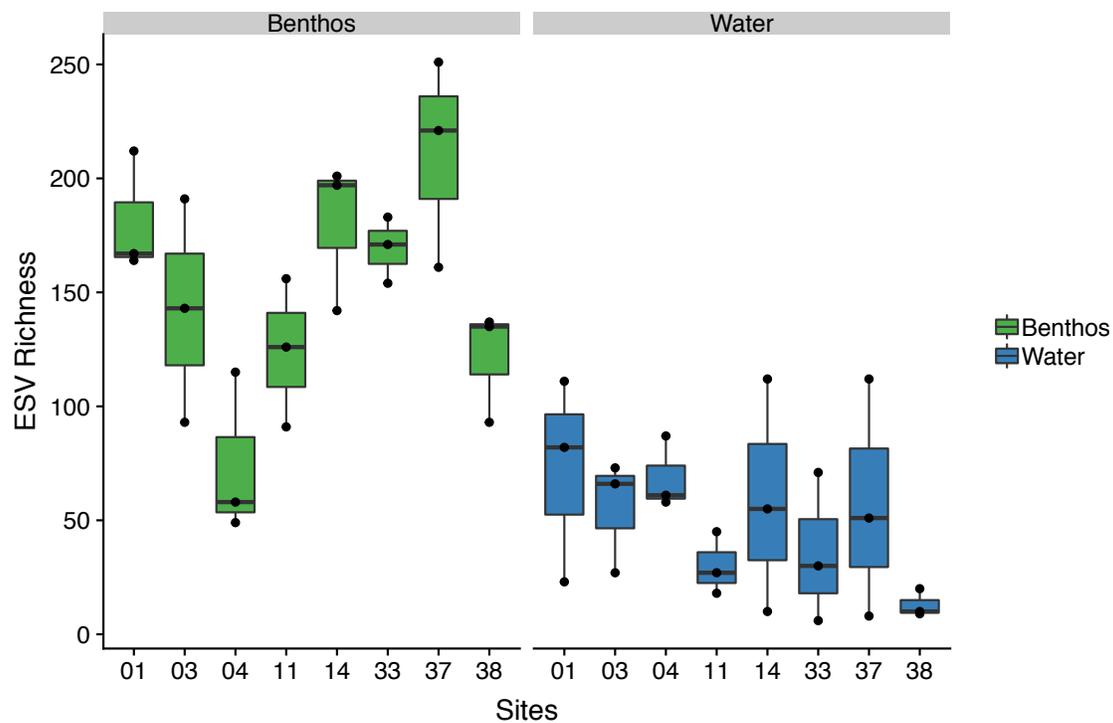
329 of higher detected richness from benthos samples (Wilcoxon test,  $p$ -value < 0.05)  
330 (Figure 1).

331

332 **Figure 1. Median arthropod richness per site is higher in benthos samples**  
333 **than water samples.** Results are based on normalized data.

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339 We further illustrate how arthropod richness varies with collection method  
340 (benthos or water) by looking at the number of ESVs exclusively found from  
341 benthos samples, found both benthos and water samples, or exclusively found

342 from water samples (Figure 2). For example, for sample 04B, 49% of ESVs are  
343 unique to benthos samples, 37% of ESVs are unique to water samples, and 14%  
344 of ESVs are shared. In fact, this sample contains the largest proportion of shared  
345 ESVs. When looking at more inclusive taxonomic ranks, more of the community  
346 is shared among benthos and water samples. When considering specific  
347 arthropod orders and genera, a greater diversity of sequence variants are detected  
348 from benthic samples even when the same higher-level taxa are also recovered  
349 from water samples (Figure 3). Some of the confidently identified arthropod genera  
350 represented by more than 100 sequence variants included: *Tanytarsus* (Diptera  
351 identified from benthos-B and water-W), *Aeshna* (Odonata, B only), *Leucorrhinia*  
352 (Odonata, B only), and *Scapholeberis* (Diplostraca, B + W).

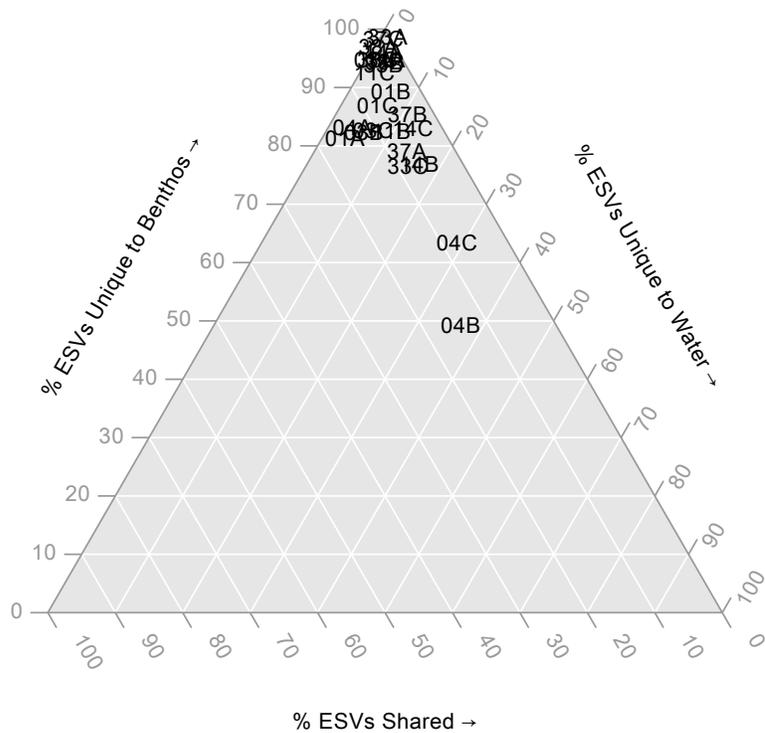
353

354

355 **Figure 2. Few arthropod ESVs are shared among benthic and water**  
356 **samples.**

357 The ternary plot shows the proportion of ESVs unique to benthos samples,  
358 unique to water samples, or shared. Sample names are shown directly on the  
359 plot. Results are based on normalized data.

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364 **Figure 3. A greater diversity of arthropod sequence variants are detected**

365 **from benthic samples.** Each point represents a genus identified with high

366 confidence and the number of benthic and water exact sequence variants (ESVs)

367 with this taxonomic assignment. Only genera represented by at least 2 ESVs in

368 both benthic and water samples are labelled in the plot for clarity. The points are

369 color coded for the 17 arthropod orders detected in this study. A 1:1

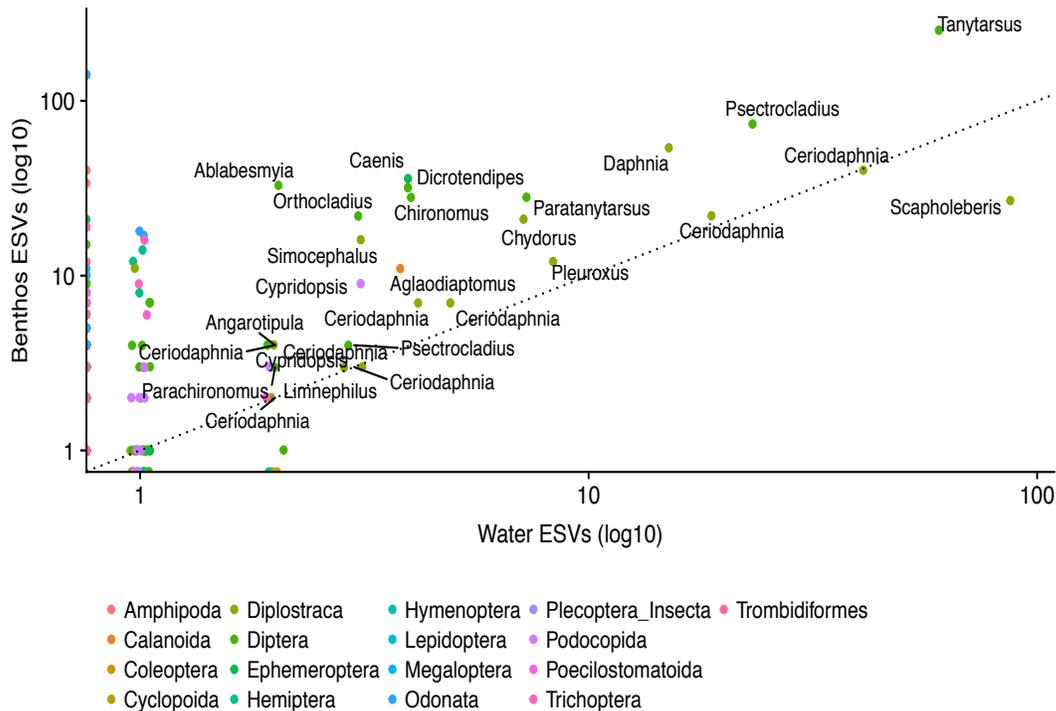
370 correspondence line (dotted) is also shown. Points that fall above this line are

371 represented by a greater number of ESVs from benthic samples. A log<sub>10</sub> scale

372 is shown on each axis to improve the spread of points with small values.

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Samples from the same sites, but collected using different methods

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(benthos or water), clustered according to collection method instead of site (Figure

380

4). The ordination was a good fit to the observed Sorensen dissimilarities

381

(NMDS, stress = 0.12,  $R^2 = 0.91$ ). Visually, samples cluster both by collection

382

method and river delta. Although we did find significant beta dispersion among

383

collection method, river, and site dissimilarities (ANOVA, p-value < 0.01), we had

384

a balanced design so we used a PERMANOVA to check for any significant

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interactions between groups and none were found<sup>39</sup>. Collection site explained ~

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53% of the variance (p-value < 0.05), river delta explained ~ 10% of the variance

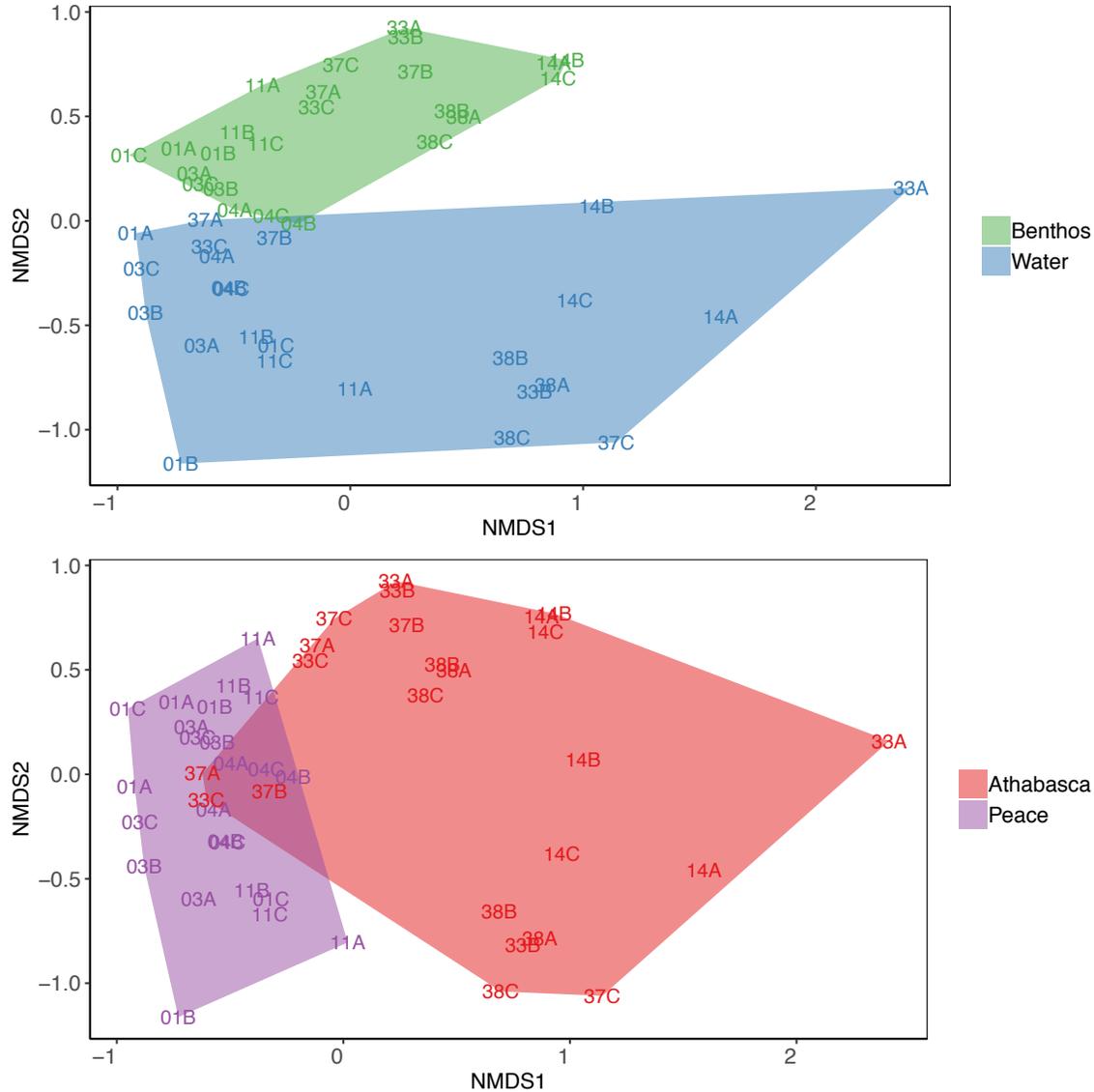
387 (p-value = 0.001), and collection method explained ~ 9% of the variance in beta  
388 diversity (p-value = 0.001). Thus, even though richness measures are highly  
389 sensitive to choice of collection method, beta diversity is robust with samples  
390 clearly clustering by river delta regardless of whether benthos or water samples  
391 are analyzed (p-value = 0.001; Figure S4).

392

393 **Figure 4. Samples cluster by collection method and river delta.** The NMDS  
394 is based on rarefied data and Sorensen dissimilarities based on presence-  
395 absence data. The first plot shows sites clustered by collection method, benthos  
396 or water. The second plot shows sites clustered by river delta, Athabasca River or  
397 Peace River delta.

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#### 402 ***Analysis of key bioindicator groups***

403 Given the importance of aquatic insects as bioindicator species in standard  
404 biomonitoring programs, and to specifically address whether water samples could  
405 be used in lieu of benthos for biomonitoring applications, we closely examined the  
406 results obtained for four insect orders of biomonitoring importance. Based on the  
407 detection of EPTO ESVs, collection method (benthos or water) accounts for 13%

408 of the variation in ordination distances (PERMANOVA, p-value=0.011; Table S3).  
409 Overall, these differences stem from variation in the distribution of ESVs detected  
410 from 76 observed EPTO families (Figure 5). While the total number of ESVs and  
411 EPTO families varied from site to site, there is a dramatic shift in the composition  
412 detected from benthos and water. For example, in site 1, 888 ESVs from 40 EPTO  
413 families were detected from the benthos sample, while only 133 ESVs from 9  
414 EPTO families were observed from the water sample, despite being taken at the  
415 exact same location and time. Within each collection method, river delta explains  
416 11% of the variation (PERMANOVA, p-value=0.031). This means is that despite  
417 differences in the community composition detected from benthos and water, EPTO  
418 ESVs can still be used to separate samples from two river deltas.

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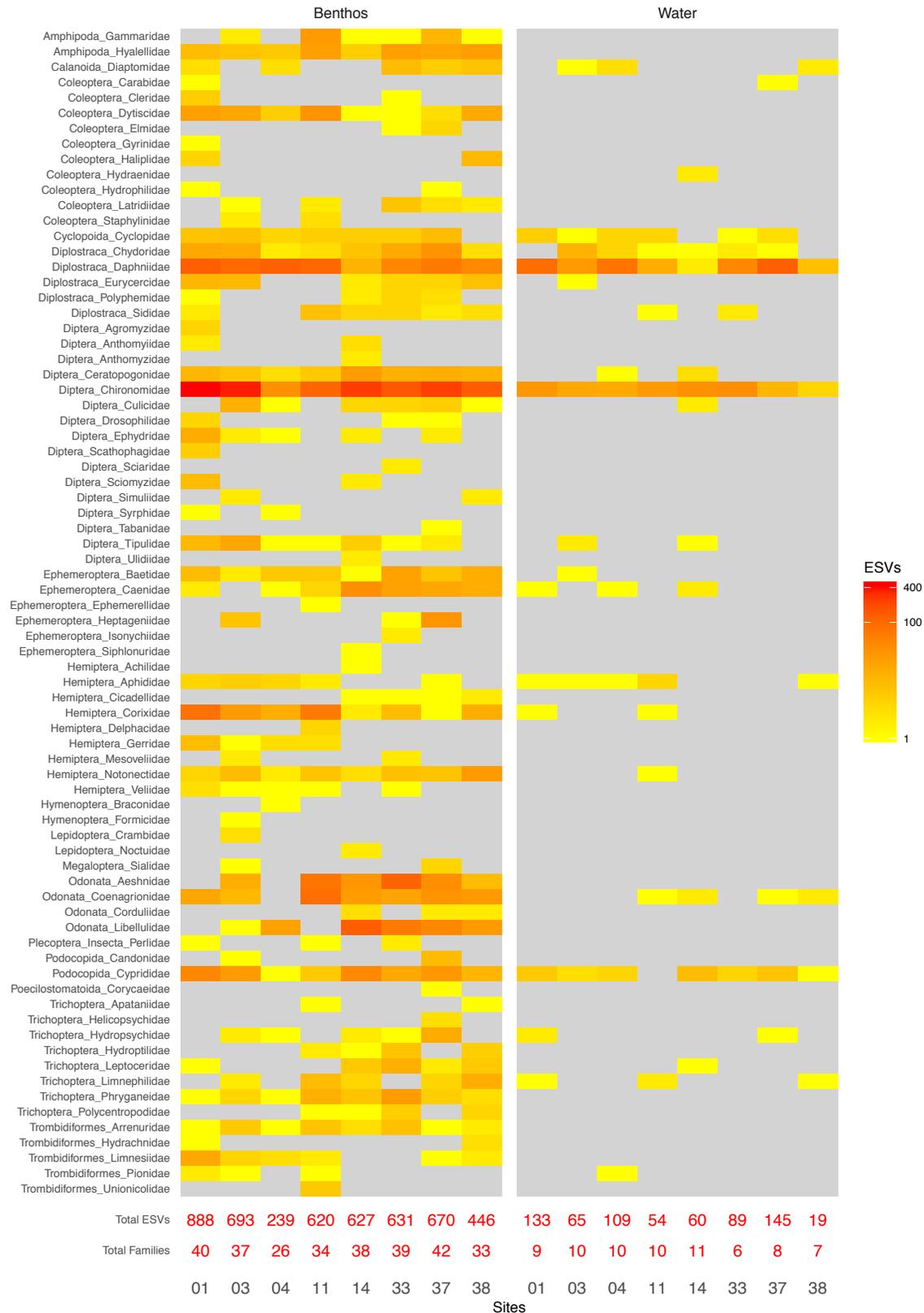
423

424 **Figure 5. More Ephemeroptera, Plecoptera, Trichoptera, and Odonata**  
425 **family ESVs are detected from benthos compared with water samples.**

426 Each cell shows ESV richness colored according to the legend. Grey cells  
427 indicate zero ESVs. Only ESVs taxonomically assigned to families with high  
428 confidence (bootstrap support  $\geq 0.20$ ) are included. Based on normalized data.

429

430



431

432

433 **Discussion**

434 Biodiversity information forms the basis of a vast array of ecological and  
435 evolutionary investigations. Given that biodiversity information for bioindicator  
436 groups, such as aquatic insects, is the main source of biological data for various  
437 environmental impact assessment and monitoring programs, it is vital for these  
438 data to provide a consistent and accurate representation of existing taxon  
439 richness<sup>40</sup>. Methods based on bulk sampling of environmental material (i.e. water)  
440 for identification of either single species<sup>41</sup> or communities<sup>42</sup>, has been proposed as  
441 a simplified biomonitoring tool<sup>23,24,43</sup>. However, our analysis shows that water  
442 eDNA fails to provide a rich representation of the community structure in aquatic  
443 ecosystems. Our unique sampling design allowed us to undertake a direct  
444 comparison as we were able to collect samples from benthos and water in parallel  
445 across a range of sites. These wetland sites consisted of small ponds with minimal  
446 or no flow, minimizing the chance of stream flow as a factor impacting the  
447 availability of eDNA in a given water sample.

448

449 Our analysis of taxon richness in benthos versus water illuminates the need  
450 for caution when interpreting data captured from water as an estimate of total  
451 richness in a system. In some cases, we saw several-fold decreases in richness  
452 in water versus benthos. Although a comprehensive analysis of taxon richness  
453 should not rely solely on numbers, this reduction in taxa detected indicates the

454 inadequacy of water for solely detecting existing aquatic invertebrate communities.  
455 In comparison, a recent study suggested that eDNA metabarcoding in flowing  
456 systems recovers higher levels of richness than bulk benthos samples<sup>44</sup>. However,  
457 our study design allowed a direct comparison between water and benthos for both  
458 EPTO and general richness without the influence of flow, meaning this was a true  
459 assessment of local community assemblages, represented by each sample type.  
460 eDNA metabarcoding in flowing systems can therefore result in the additional  
461 detection of upstream communities<sup>44</sup>, reflected in the greater number of taxa  
462 detected, but does not reflect the existing biodiversity at the local scale.

463

464         An important consideration when deciding effective biomonitoring methods  
465 should be the ecology of target biodiversity units. Factors including life cycle and  
466 habitat preference (i.e. benthic or water column) is likely to influence the rate of  
467 detection in different sampling approaches<sup>45,46,47</sup>. We have demonstrated in this  
468 study that whilst some ESVs are shared between both benthos and water, there is  
469 a sampling bias as to the associations of taxa, particularly EPTO, with different  
470 sample sources, which was also observed in a recent comparative study with  
471 running water<sup>44</sup>. The association of specific taxa with benthos enables  
472 communities to be assessed spatially, across different habitat types<sup>14,48</sup>. One of  
473 the major limitations of attempting to determine presence/absence of taxa in water  
474 is the uncertainty of the original DNA source. As samples are often collected at  
475 single fixed locations, taxa recovered in water can vary depending on when and

476 where DNA was released into the aqueous environment in addition to other factors  
477 including flow rate<sup>23</sup>. This makes scaling up results from water challenging<sup>49</sup>.  
478 Conversely, benthos samples enable a real-time assessment of biodiversity  
479 originating from a known locality, which has implications for fine-scale  
480 environmental assessments<sup>14</sup>.

481

482 Environmental factors including hydrolysis drive DNA degradation in  
483 aqueous substrates, which can negatively influence detectability of DNA in water<sup>50</sup>.  
484 This confounding factor could account for some of the reduction in biodiversity  
485 observed between benthos and water<sup>51</sup>. For water sampling to improve species  
486 coverage and gain a comparable number of observations, a considerable increase  
487 in replicates and sequencing depth is required<sup>52,53</sup>. Earlier research has shown that  
488 increasing the volume of water up to 2 L does not seem to be a factor in additional  
489 taxonomic coverage<sup>24</sup>, however increasing the number of both biological and  
490 technical replicates can increase the number of taxa detected<sup>52,53,55</sup>. We used  
491 triplicate sampling for each site and compared EPTO taxa between sites and two  
492 rivers, separately. None of these comparisons provided support for the use of  
493 water eDNA in place of benthos. We found that benthos replicates clustered closer  
494 with less variation in ESV abundance in comparison with water, which suggests  
495 that three replicates is sufficient for consistent species detection with benthos and  
496 water is less consistent at representing community structure. In addition, using  
497 highly degenerate primers can increase the total biodiversity detected using eDNA

498 metabarcoding<sup>44</sup>. However, with highly degenerated primers, there is an increase  
499 likelihood of amplifying non-target regions<sup>56</sup>, in comparison to primers with lower  
500 degeneracy such as those used in this study. Additionally, employing highly  
501 degenerate primers in biomonitoring studies lead to overrepresentation of some  
502 taxa (e.g. non-metazoan), which further distances such metabarcoding studies  
503 from current stream ecosystem assessment methods<sup>44,57</sup>. Attempting to improve  
504 taxonomic coverage of water by increasing numbers of samples collected,  
505 sequencing depth and utilising highly degenerate primers, adds considerable  
506 costs, both financial, in terms of effort and comparability, without the guarantee of  
507 representative levels of biodiversity identification.

508

## 509 **Conclusions**

510 It is apparent that in data generated from our comparative study, employing  
511 water column samples as a surrogate for true benthic samples is not supported,  
512 as benthos DNA does not appear to be well represented in the overlying water in  
513 these static-water wetland systems at detectable levels. Benthic samples are a  
514 superior source of biomonitoring DNA when compared to water in terms of  
515 providing reproducible taxon richness information at a variety of spatial scales.  
516 Choice of sampling method is a critical factor in determining the taxa detected for  
517 biomonitoring assessment and we believe that a comprehensive assessment of  
518 total biodiversity should include multiple sampling methods to ensure that  
519 representative DNA from all target organisms can be captured.

520

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522

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685

## 686 **Author contributions and competing interests**

687 M.H. designed the overall study, contributed to genomics and bioinformatics  
688 analyses, and wrote the manuscript; T.M.P. conducted bioinformatic processing,

689 helped analyze data, and helped to write the manuscript; S.S. conducted  
690 molecular biology and genomics analyses; C.V.R. assisted with interpreting data  
691 and helped to write the manuscript. D.J.B. planned and organised the field study,  
692 collected the samples and helped to write the manuscript; M.W. conducted  
693 molecular biology and genomics analyses. The authors declare no competing  
694 interests.

695

#### 696 **Data availability**

697

698 Raw sequences will be deposited to the NCBI SRA on acceptance. A FASTA file  
699 of ESV sequences are available in the Supplementary Material. The bioinformatic  
700 pipeline and scripts used to create figures are available on GitHub at  
701 <https://github.com/terrimporter/CO1Classifier>.

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